

Introduction to Algorithms and Data Structures

6. Data Structure (1) Stack, Queue, and Heap

Professor Ryuhei Uehara,
School of Information Science, JAIST, Japan.

uehara@jaist.ac.jp

<http://www.jaist.ac.jp/~uehara>

<http://www.jaist.ac.jp/~uehara/course/2020/myanmar/>

Short Summary So Far

- We have combinations of 3 issues; **data structure**, **what to do**, and **algorithm**
- What to do: E.g., access to the i -th item, **search**, add/remove/insert
- Array: access in $O(1)$, search in $O(n)$, add tail in $O(1)$, insert/remove in $O(n)$
- Array **in order**: search in $O(\log n)$, etc.
- **Linked list**: access in $O(n)$, add/remove in $O(1)$, etc.
- Today's topic: Three abstract data structures for addition and take out

Representative data structures

- Stack: The **last added item** will be taken first (LIFO: Last in, first out)
- Queue: The **first added item** will be taken first (FIFO: first in, first out)
- Heap: The **smallest item** will be taken from the stored data
- Implementation of concrete data structures like array and linked list.

- What “Stack” is?
- Implementation by array
- Implementation by linked list

STACK

Stack

- The structure that the last data will be popped first (LIFO: Last in, first out)
- Image: “stack” of dishes, ...
- Operations
 - push: add new data into stack
 - pop: take the data from stack
- Pointer
 - top: top element in the stack (where the next item is put)

top ↗



↗ push 3;
push 4;
push 5;
pop; → 5
pop; → 4
push 6;
pop; → 6

Implementation of stack by an array

- Store a data: push(x)

```
stack[top]=x;  
top=top+1;
```

Store the place for the “next” one
Increment the “next” place

- Take the data: pop()

```
top=top-1;  
return stack[top];
```

Decrement the “next” place
Return the current one

- What kind of errors?

- Overflow: push (x) when $top == size(stack)$
- Underflow: pop() when $top == 0$

Implementation of stack by an array

```
class Stack {
    private int[] data;
    private int top;
    public Stack (int maxsize) {
        data = new int[maxsize];
        top=0;
    }
    public void push(int x) {
        if (top < data.Length) {
            data[top] = x;
            top ++;
        } else {
            System.Console.WriteLine("overflow");
        }
    }
    public int pop() {
        if (top > 0) {
            top --;
            return data[top];
        } else {
            System.Console.WriteLine("underflow");
            return -1;
        }
    }
    public void print() {
        for (int i=0; i<top; i++) {
            System.Console.Write(data[i]+" ");
        }
        System.Console.WriteLine(" top <- "+top);
    }
}
```

Initialization

Exercise: Resize the array
when it overflows

Display for check

```
public class i111_06_p7 {
    public static void Main () {
        Stack st = new Stack(6);
        st.push(3);
        st.print();
        st.push(4);
        st.print();
        st.push(5);
        st.print();
        System.Console.WriteLine("pop="+st.pop());
        st.print();
    }
}
```

Make it of size 6

Output the result of pop

Implementation of stack by a linked list

```
class StackLL {
    private Node top;

    public StackLL () {
        top=null;
    }

    public void push(int x) {
        Node n = new Node(x, top);
        top = n;
    }

    public int pop() {
        if (top != null) {
            int topvalue = top.data;
            top = top.next;
            return topvalue;
        } else {
            System.Console.WriteLine("underflow");
            return -1;
        }
    }

    public void print() {
        Node n = top;
        while (n != null) {
            System.Console.Write(n.data+" ");
            n = n.next;
        }
        System.Console.WriteLine(" top -> ");
    }
}
```

Top node

No need to check overflow

```
public class i111_06_p8 {
    public static void Main () {
        StackLL st = new StackLL();
        st.push(3);
        st.print();
        st.push(4);
        st.print();
        st.push(5);
        st.print();
        System.Console.WriteLine("pop="+st.pop());
        st.print();
    }
}
```

Size is not needed

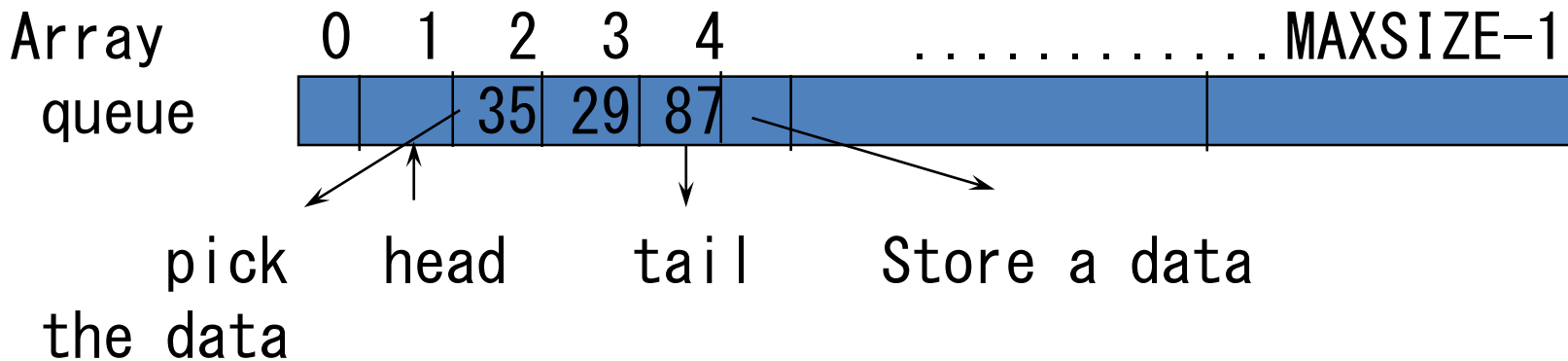
```
class Node {
    public int data;
    public Node next;
    public Node(int i, Node n) {
        this.data = i;
        this.next = n;
    }
}
```


“Queue” means “(waiting) line”

QUEUE

Queue

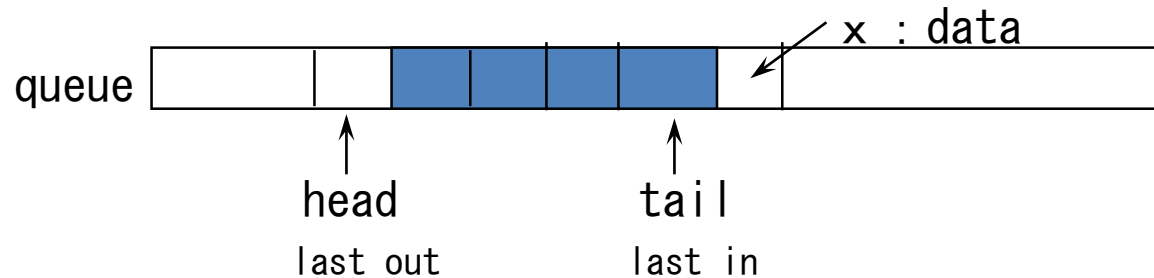
- The first data will be taken first (FIFO: first in, first out)
- Image: In front of famous restaurant



Data are stored in from `queue[head+1]` to `queue[tail]`

You may feel it is not intuitive since it is not from `queue[head]` to `queue[tail]`...

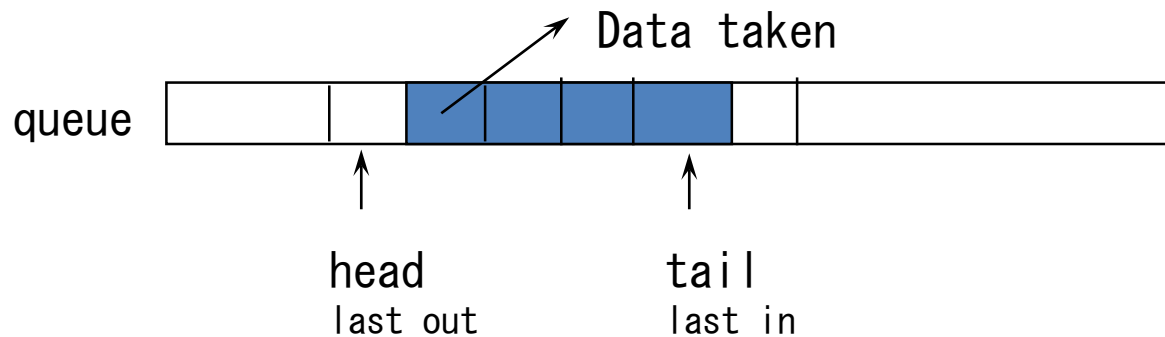
Simple implementation of queue by an array: Add a data



```
void append(int x){  
    tail = tail + 1;  
    queue[tail] = x;  
}
```

Move to the place to put
(and place put the last)

Simple implementation of queue by an array: Take a data



```
int get(){  
    head = head + 1;  
    return queue[head];  
}
```

Move to the place to be taken
(and place taken the last)

Problem of simple implementation of queue: Waste area...

- What happens when we use queue as follows?

```
int queue[MAX_SIZE];
int head, tail;
void main(){
    head=0; tail=0;
    append(3); get();
    append(4); get();
}
```

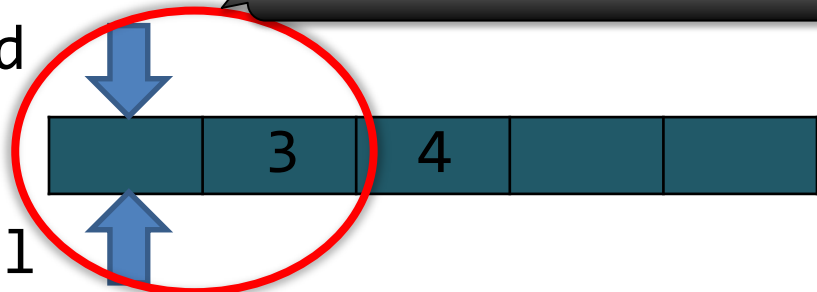
```
int get(){
    head = head + 1;
    return queue[head];
}
```

```
void append(int x){
    tail = tail + 1;
    queue[tail] = x;
}
```

~~append(3)~~

head

tail



We won't use → waste

Solution: Use array *cyclic*



```
void append(int x){  
    tail = (tail + 1) % MAXSIZE;  
    queue[tail] = x;  
}  
int get(){  
    head = (head + 1) % MAXSIZE;  
    return queue[head];  
}
```

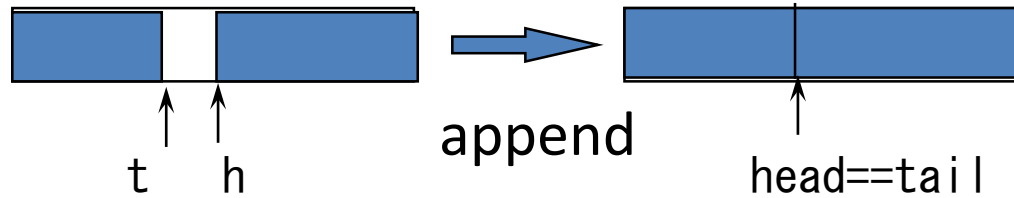
Return to 0

Return to 0

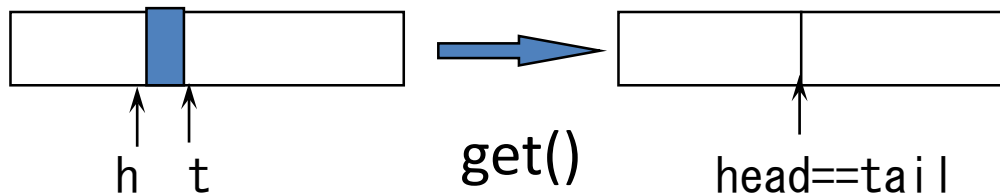
Problem of queue in cyclic array:

We cannot distinguish between **full** and **empty**

When it is **full**;



When it is **empty**;



In both cases, we have $head==tail$.

We may count the number, but it is not a good situation to be full...

Solution: We define **full** when we have tail==head when append.

```
void append(int x){
    tail = (tail + 1) % MAXSIZE;
    queue[tail] = x;
    if(tail == head) printf("Queue Overflow ");
}
int get(int x){
    if(tail == head) printf("Queue is empty ");
    else {
        head = (head + 1) % MAXSIZE;
        return queue[head];
    }
}
```


Implementation of Queue in C#

```
class Queue {
    private int[] queue;
    private int head;
    private int tail;
    public Queue (int maxsize) {
        queue = new int[maxsize];
        head = 0;
        tail = 0;
    }
    public void append(int x) {
        tail = (tail +1) % queue.Length;
        queue[tail] = x;
        if (tail == head) {
            System.Console.WriteLine("overflow");
        }
    }
    public int get() {
        if (tail == head) {
            System.Console.WriteLine("underflow");
            return -1;
        }
        head = (head + 1) % queue.Length;
        //int t=queue[head]; queue[head]=0; return t;
        return queue[head];
    }
    public void print() {
        for (int i=0; i<queue.Length; i++) {
            System.Console.Write(queue[i]+" ");
        }
        System.Console.WriteLine("h"+head+" t"+tail);
    }
}
```

It is better to initialize by -1

full!!

empty!!

```
public class i111_06_p16 {
    public static void Main () {
        Queue qu = new Queue(3);
        qu.append(3); qu.print();
        qu.append(4); qu.print();
        System.Console.WriteLine("get="+qu.get());
        qu.print();
        qu.append(5); qu.print();
        System.Console.WriteLine("get="+qu.get());
        qu.print();
        qu.append(6); qu.print();
    }
}
```

Make it size 3

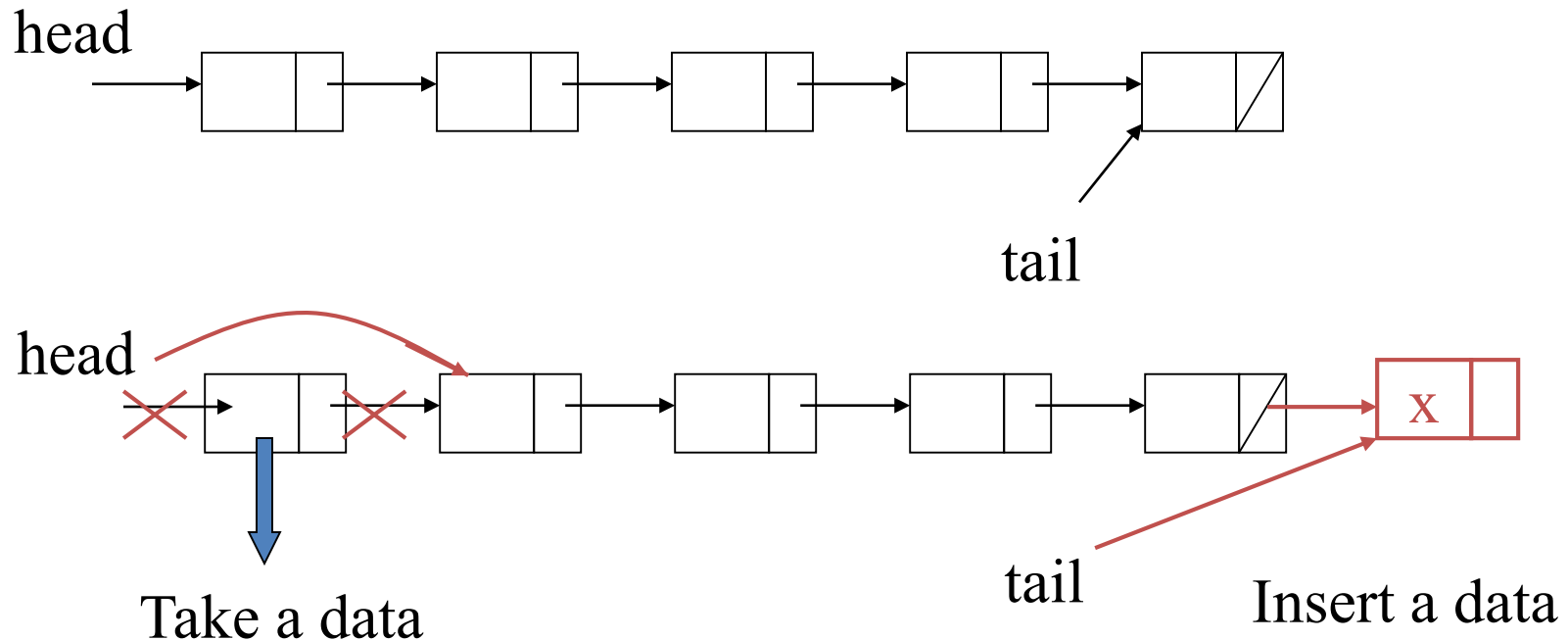
Output result by get

✘Erase the taken data

Implementation of queue by linked list

Insertion of a data : From tail of the list: pointer tail

Take a data : From top of the list: pointer head



Exercise: Make program by yourself!

Implementation of Queue in C#

Size is not needed

```
class QueueLL {
    private Node head;
    private Node tail;
    public QueueLL () {
        head = null;
        tail = null;
    }
    public void append(int x) {
        Node n = new Node(x, null);
        if (head == null) {
            head = n;
        } else {
            tail.next = n;
        }
        tail = n;
    }
    public int get() {
        if (head == null) {
            System.Console.WriteLine("underflow");
            return -1;
        }
        int headValue = head.data;
        head = head.next;
        return headValue;
    }
    public void print() {
        Node n = head;
        while (n != null) {
            System.Console.Write(n.data+" ");
            n = n.next;
        }
        System.Console.WriteLine(" head -> tail");
    }
}
```

Ex: What happens?

Try to take data from empty

```
public class i111_06_p18 {
    public static void Main () {
        QueueLL qu = new QueueLL();
        qu.append(3); qu.print();
        qu.append(4); qu.print();
        System.Console.WriteLine("get="+qu.get());
        qu.print();
        qu.append(5); qu.print();
        System.Console.WriteLine("get="+qu.get());
        qu.print();
        qu.append(6); qu.print();
    }
}
```

```
class Node {
    public int data;
    public Node next;
    public Node(int i, Node n) {
        this.data = i;
        this.next = n;
    }
}
```

- “heap” also means “stack”, but more “mountain”-like shape?
- Simple implementation by array
- Implementation by array using an idea of binary tree

HEAP

Heap

- Add/remove data
- Elements can be taken from minimum (or maximum) in order

q. How can we implement?

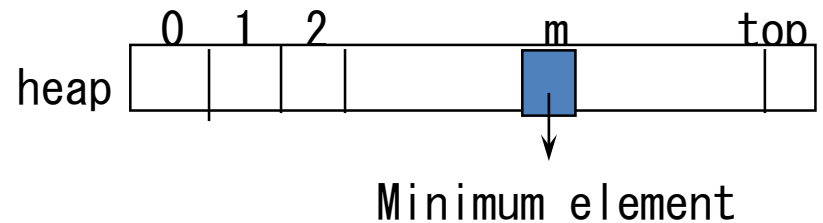
Implement of heap (1):

Simple implemen

An array `heap[]` and `top`,
the number of data

- Initialize: `top = 0`
- **Insert** data:
`heap[top] = x;`
`top = top + 1;`
- Take **minimum one**:
Find the minimum element
`heap[m]` in `heap[]` and
output. Then copy
`heap[top-1]` to
`heap[m]`, and decrease `top`
by 1.

```
m = 0;
for(i=1; i<top; i++)
    if(heap[i] < heap[m])
        m = i;
x = heap[m];
heap[m] = heap[top-1];
top = top - 1;
return x;
```



Problem of simple implementation: Slow for reading data

- Store: $O(1)$ time

```
heap[top++] = x
```

← As same as
heap[top] = x;
top = top + 1;
in C

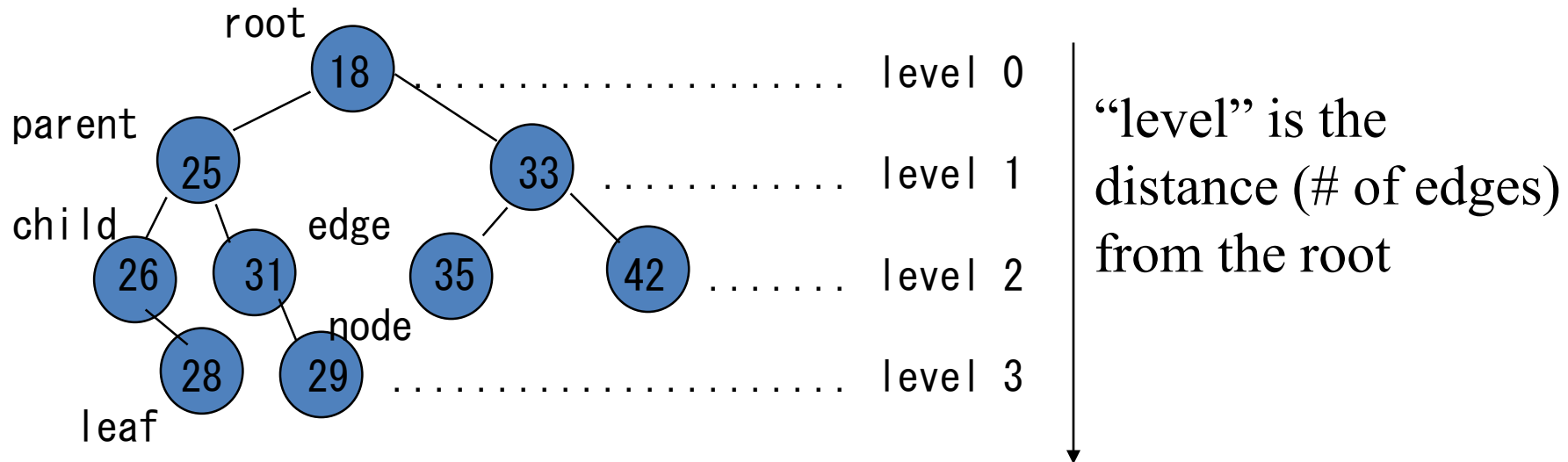
- Take: $O(n)$ time

```
m = 0;  
for(i=1; i<top; i++)  
    if(heap[i] < heap[m])  
        m = i;  
x = heap[m];  
heap[m] = heap[top-1];  
top = top - 1;  
return x;
```

【Important】

【Note: different from binary search tree!】

Implementation of heap by binary tree



root: node that has no parent

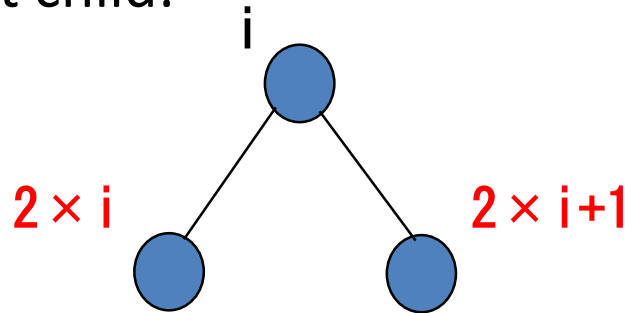
leaf: node that has no child

A tree is called a *binary tree*

if each node has at most 2 children

Property of binary tree for heap

1. Assign 1 to the root.
2. For a node of number i , assign $2 \times i$ to the left child and assign $2 \times i + 1$ to the right child:



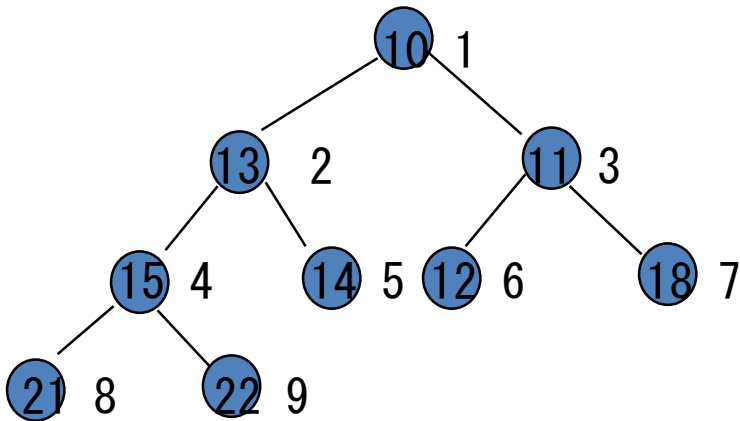
3. No nodes assigned by the number greater than n .
4. For each edge, parent stores data smaller than one in child.

The maximum level of heap: $[\log_2(n+1) - 1]$

Each node has a unique path from the root, and its length is $O(\log n)$.

※ Some textbooks prefer to start from 0 instead of 1. In this case, considering children as $2i+1$ and $2i+2$, we have the same structure.

Example of a heap by binary tree



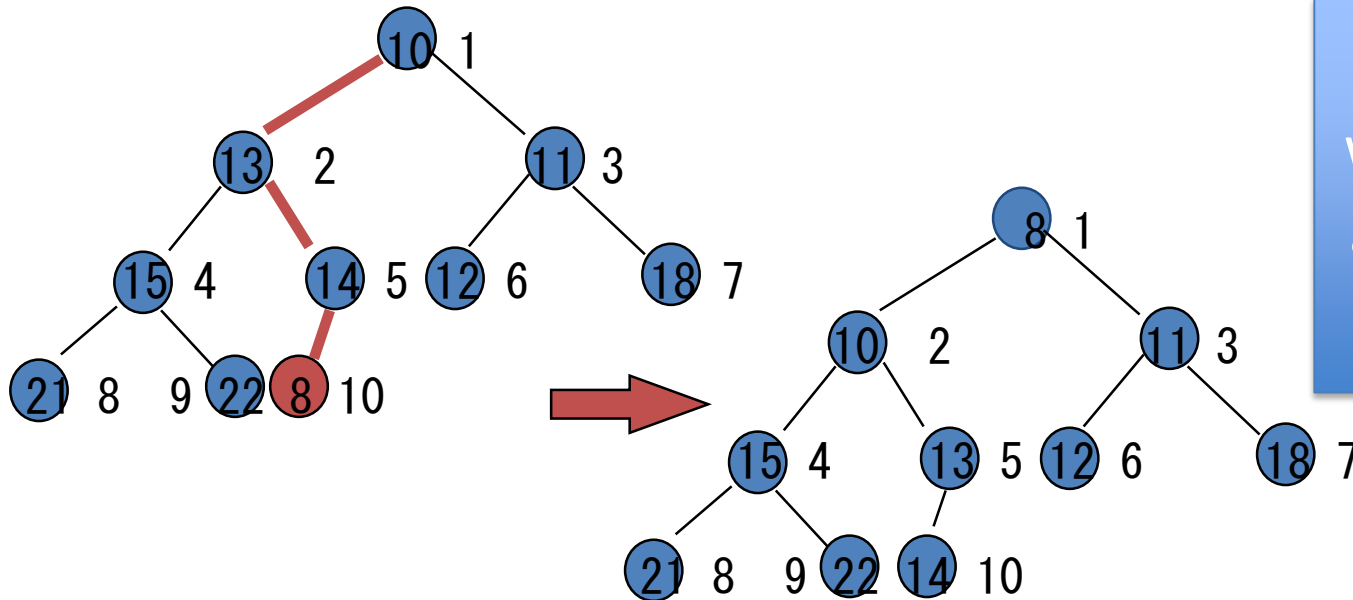
1. Assign 1 to the root.
2. For a node of number i , assign $2 \times i$ to the left child and assign $2 \times i + 1$ to the right child.
3. No nodes assigned by the number greater than n .
4. For each edge, **parent stores data smaller than one in child.**

We can use an array, instead of linked list!

1	2	3	4	5	6	7	8	9
10	13	11	15	14	12	18	21	22

Add a data to heap

- (1) temporarily, add data to node $n+1$ ($n+1^{\text{st}}$ element in array)
- (2) **traverse to the root** step by step, and if parent $>$ child then swap parent and child

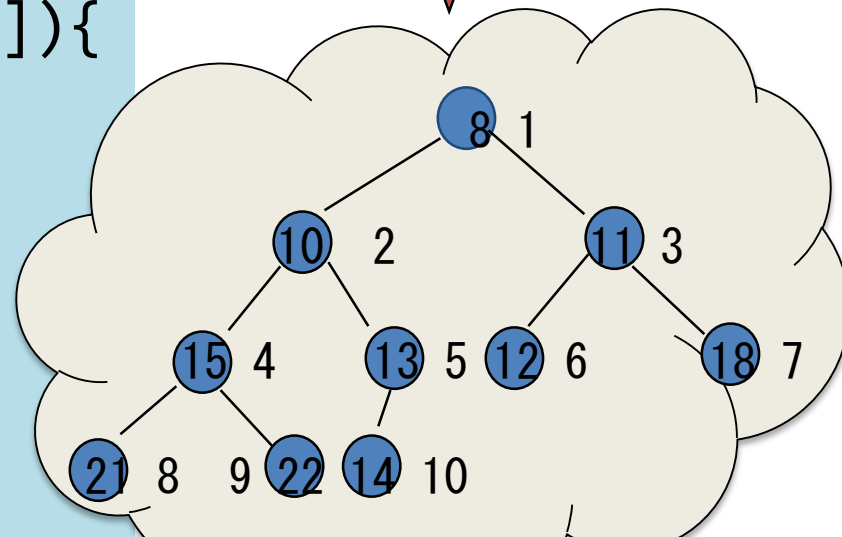
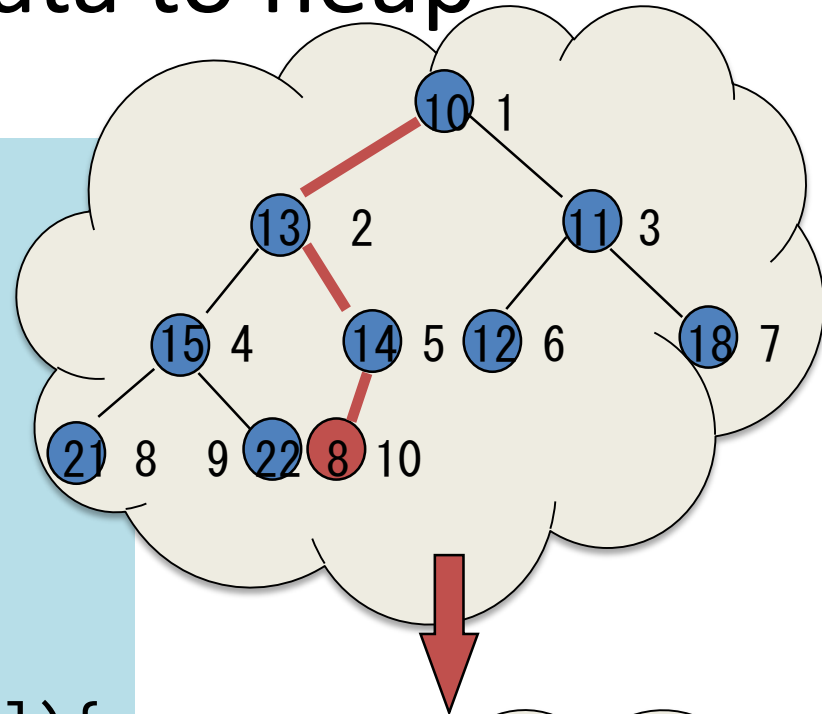


Exercise:
Why does this
algorithm has
consistency?

That is, from $n+1^{\text{st}}$ node to the root, the data are in order. This algorithm does not occur any problem with any other part of tree.

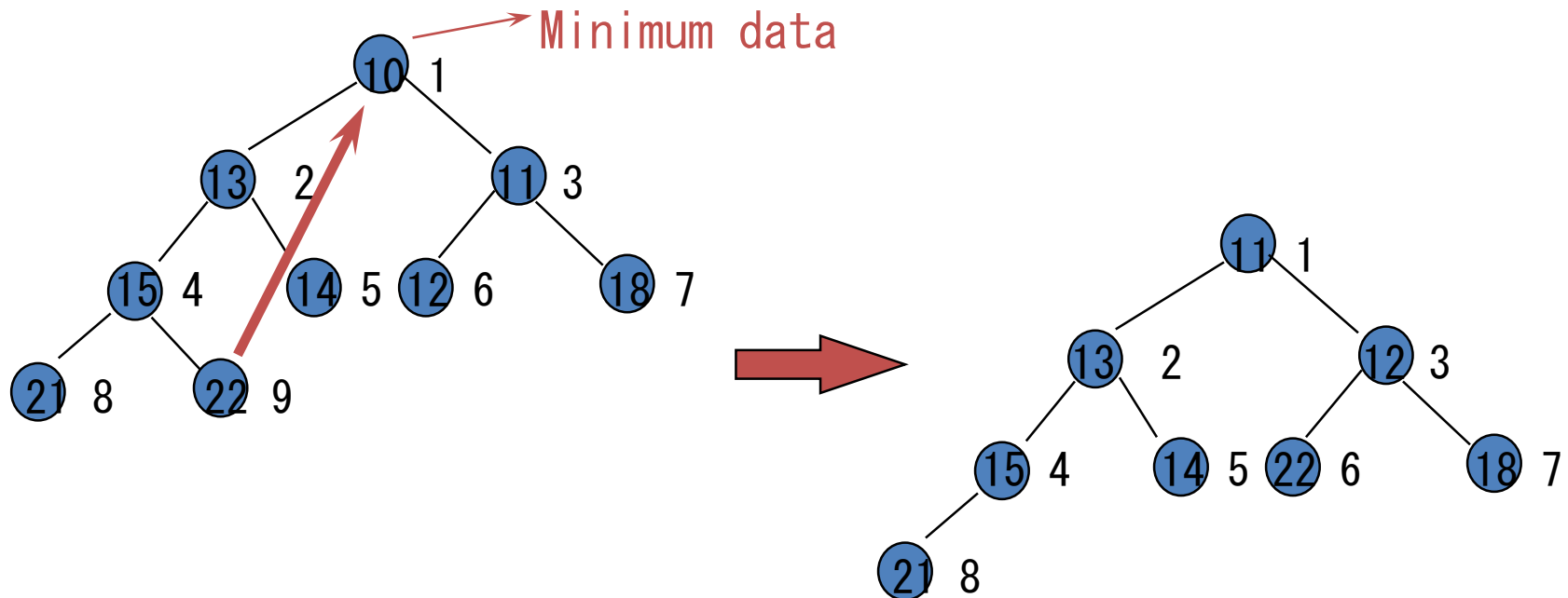
Program for adding a data to heap

```
void pushHeap(int x){
    int i, j;
    if(++n >= MAXSIZE)
        stop("Heap Overflow");
    else{
        heap[n] = x;
        i=n; j=i/2;
        while(j>0 && x < heap[j]){
            heap[i] = heap[j];
            i=j; j=i/2;
        }
        heap[i] = x;
    }
}
```



Heap: **Take** the minimum item

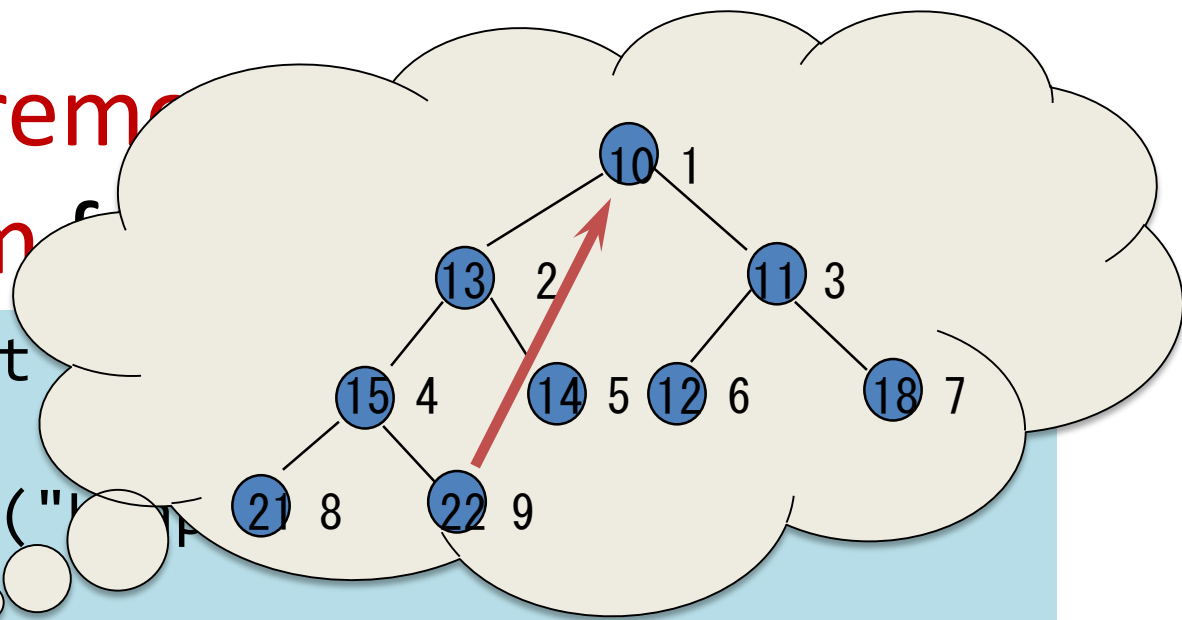
- (1) Take the minimum data at the root
- (2) Copy the last item (of number n) to the root
- (3) Traverse **from the root to a leaf** as follows
For each pair of two children, choose the **smaller** one, and exchange parent and child if child is smaller than parent.



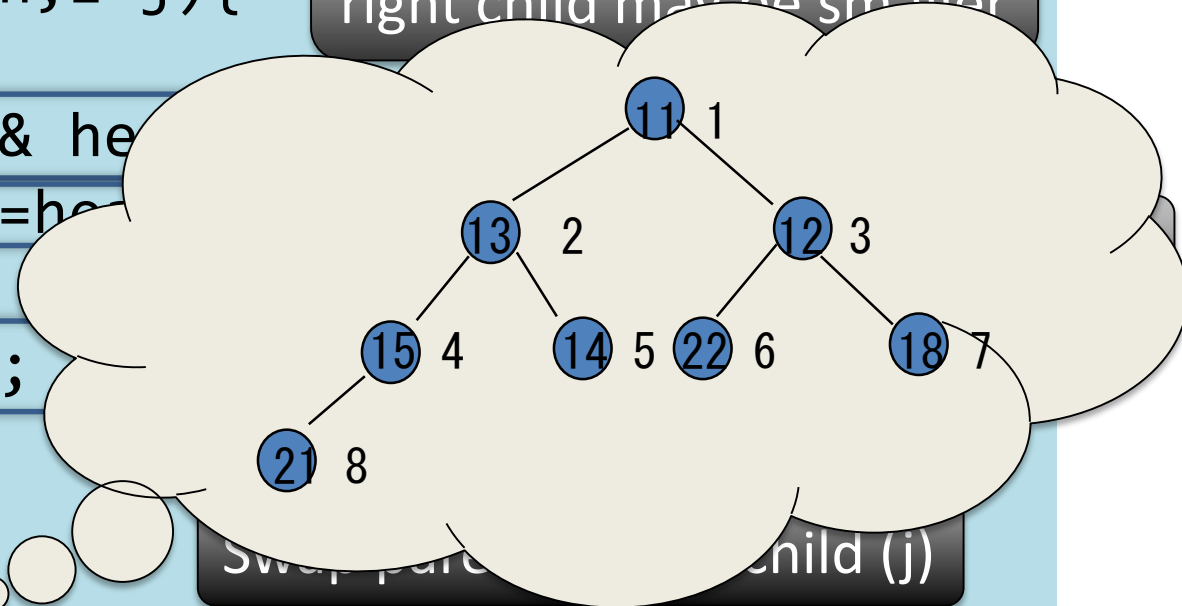
Program for removing an item from a heap

item from a heap

```
int* deleteMin(int heap[], int n) {
    int x, i, j, t;
    if(n == 0) stop("Heap is empty");
    else{
        heap[1]=heap[n--];
        for(i=1;i*2<=n;i=j){
            j=i*2;
            if(j+1<=n && heap[j+1]<heap[j])
                j=j+1;
            if(heap[i]>heap[j])
                t=heap[i];
                heap[i]=heap[j];
                heap[j]=t;
            }
        }
    }
    return heap;}
}
```



Node i has child && right child may be smaller



Swap parent with child (j)

Time complexity of binary heap

- Let n be the size of heap
 - Addition: $O(\log n)$
 - Removal: $O(\log n)$
- Each operation runs in time proportional to the depth of the heap
- The depth of heap is almost $\log n$

Summary

- Stack: Structure that the last data will be popped first (LIFO: Last in, first out)
 - Queue: The first data will be took first (FIFO: first in, first out)
 - Heap: Elements are taken from minimum in order
 - Implemented by array/linked list
 - Heap is efficient by using binary tree
- Q: How about heap by linked list?