

Introduction to Algorithms and Data Structures

Searching (1): Sequential search and its analysis

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Main topic:

SEARCH PROBLEM

Search Problem

- Problem: S is a given set of data. For any given data x , determine **efficiently** if S contains x or not.
- **Efficiency**: Estimate the time complexity by $n = |S|$, the size of the set S
 - In this problem, “checking every data in S ” is enough, and this gives us an upper bound $O(n)$ in the worst case.
 - Can we do better?
 - How about *dictionary*?

How to tackle the problem

- Consider **data structure** and how to store data
 - Data are in an array in any ordering
 - Data are in an array in increasing order
- Search **algorithm**: The way of searching
 - Sequential search
 - m-block method
 - Double m-block method
 - Binary search
- Analysis of efficiency

We introduce these methods to explain our naïve idea.

Data structure 1

Data are stored in arbitrary ordering

- Each element in the set S is stored in an array s from $s[0]$ to $s[n-1]$ in any arbitrary ordering.

$s[] =$

37	12	25	9	87	33	65	3	29
----	----	----	---	----	----	----	---	----

Sequential search

- Input: any natural number x
- Output:
 - If there is i such that $s[i] == x$, output i
 - Otherwise, output -1 (for simplicity)

```
for (i=0; i<n; ++i)
    if(x==s[i]) return i;
return -1;
```

In the worst case, we need n comparisons.
Thus, the running time is proportional to n .
→ $O(n)$ time algorithm

Example: Real code of seq. search

```
public class i111_03_p7{
    public static void Main(){
        int[] data = new int[]{37,12,25,9,87,33,65,3,29};
        int len = data.Length;

        int target = 87;
        int result = find(target,len,data);
        if (result == -1) {
            System.Console.WriteLine(target+" not found");
        } else {
            System.Console.WriteLine(target+" is at index "+result);
        }
    }

    static int find(int x, int n, int[] s) {
        for (int i=0; i<n; i++) {
            System.Console.Write(i+" ");
            if (x==s[i]) return i;
        }
        return -1;
    }
}
```

Precise time complexity of sequential search

- At most $3n + 2$ steps

```
for (i=0; i<n; ++i)
    if(x==s[i]) return i;
return -1;
```

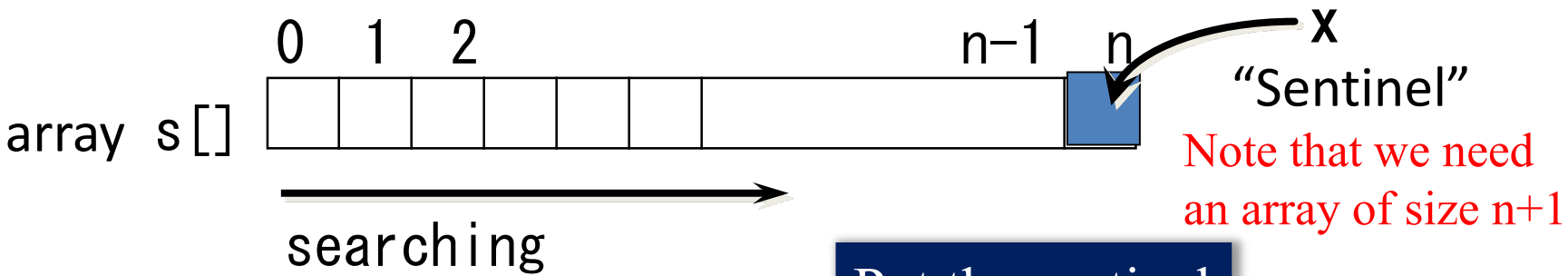
Initialization of i takes 1 operation

For the number of loops $\leq n$,
comparison $\times 2$ ($==, <$)
increment $\times 1$ ($++$)

Return takes 1 operation

Programming tips 1: simplify by using “sentinel”

Before searching, push x itself at the end of the array;
Then you definitely have $x == s[i]$ for some $0 \leq i \leq n$
So you do not need the check $i < n$ any more.



```

s[n] = x;
i = 0;
while(x != s[i])
    i = i+1;
if(i < n) return i;
else return -1;

```

Put the sentinel

Simple loop!
→ 2 operations

At most $2n+4 (<3n+2)$ operations
 $= O(n)$

Analysis of the number of comparisons

Consider best/worst/average cases

- The best case: 1
 - when $s[0] == x$

- The worst case: n
 - when x is not in $s[0] \dots s[n-1]$

- The average case : $\sum_{i=1}^{n+1} \frac{i}{n} = \frac{n+2}{2}$

- The expected value of # of comparisons
- The i -th element is compared with probability $1/n$
- The number of comparisons when x is equal to the i -th element is i .

```
s[n] = x;  
i = 0;  
while(x != s[i])  
    i = i+1;  
if(i < n)  
    return i;  
else  
    return -1;
```

- ※ average is close to n when we often have the case that x is not in data
- ※ It depends on the situation that which case is important

What happens
if we use
“nice” data structure?

Data structure 2

Data in the array in **increasing** order

We don't consider how can we do now

• $s[] =$

3	9	12	25	29	33	37	65	87	x
---	---	----	----	----	----	----	----	----	---

• Q: Any improvement in sequential algorithm?

Idea

```
s[n]=x;  
i = 0;  
while(x!=s[i])  
    i = i+1;  
if(i < n) return i;  
else      return -1;
```

We can stop when $s[i]$ is greater than x
 $x \neq s[i] \rightarrow x > s[i]$

Data structure 2

Data in the array in **increasing** order

We don't consider how can we do now

• $s[] =$

3	9	12	25	29	33	37	65	87	x
---	---	----	----	----	----	----	----	----	---

• Q: Any improvement in sequential algorithm?

Idea

```
s[n]=x;  
i = 0; It does not happen over x!  
while(s[i]<x)  
    i = i+1;  
if(i < n) return i;  
else      return -1;
```

We can stop when $s[i]$ is greater than x
 $x \neq s[i] \rightarrow x > s[i]$

Data structure 2

Data in the array in **increasing** order

• $s[] =$

3	9	12	25	29	33	37	65	87	x
---	---	----	----	----	----	----	----	----	---

• Q: Any improvement in sequential algorithm?

```
s[n]=x;  
i = 0;  
while(s[i]<x)  
    i = i+1;  
if(i < n) return i;  
else      return -1;
```

We can stop when $s[i]$ is greater than x

$x \neq s[i] \rightarrow x > s[i]$

It may stop even if $i < n$
 $i < n \rightarrow s[i] == x$

E.g, if $x=30$, we have $i < n$ ($5 < 9$)
but it should return (-1)

Look!

Data structure 2

Data in the array in **increasing** order

• $s[] =$

3	9	12	25	29	33	37	65	87	x
---	---	----	----	----	----	----	----	----	---

• Q: Any improvement in sequential algorithm?

```
s[n]=x;  
i = 0;  
while(s[i]<x)  
    i = i+1;  
if(s[i]==x) return i;  
else return -1;
```

We can stop when $s[i]$ is greater than x

$x \neq s[i] \rightarrow x > s[i]$

It may stop even if $i < n$
 $i < n \rightarrow s[i] == x$

Much intuitive condition!

Data structure 2

Data in the array in **increasing** order

- $s[] =$

3	9	12	25	29	33	37	65	87	x
---	---	----	----	----	----	----	----	----	---

Look!

- Q: A potential algorithm?

When x is not in $s[]$,
it returns n
 $s[n]=x \rightarrow s[n]=x+1$

```
s[n]=x;  
i = 0;  
while(s[i]<x)  
    i = i+1;  
if(s[i]==x) return i;  
else return -1;
```

We can stop when $s[i]$ is
greater than x
 $x \neq s[i] \rightarrow x > s[i]$

It may stop even if $i < n$
 $i < n \rightarrow s[i] == x$

Data structure 2

Data in the array in increasing order

- $s[] =$

3	9	12	25	29	33	37	65	87	$x+1$
---	---	----	----	----	----	----	----	----	-------

- Q: A sequential algorithm?
When x is not in $s[]$, it returns n
 $s[n]=x \rightarrow s[n]=x+1$

```
s[n]=x+1;  
i = 0;  
while(s[i]<x)  
    i = i+1;  
if(s[i]==x) return i;  
else return -1;
```

We can stop when $s[i]$ is greater than x
 $x \neq s[i] \rightarrow x > s[i]$

It may stop even if $i < n$
 $i < n \rightarrow s[i] == x$

Data structure 2

Data in the array in increasing order

- $s[] =$

3	9	12	25	29	33	37	65	87	$x+1$
---	---	----	----	----	----	----	----	----	-------

 - Exit from loop when: $s[i] \geq x$
 - Check after loop: $s[i] == x$
 - Sentinel: greater than x , e.g., $x+1$

```
s[n]=x+1;
i = 0;
while(s[i]<x)
    i = i+1;
if(s[i]==x) return i;
else return -1;
```

Q. Improve of comparison?

A. Average is better.
But the same in
the worst case

Q: When the average is better? 18

Example: Real code of seq. search in increasing order

```
public class i111_03_p18{
    public static void Main(){
        int[] data = new int[]{3,9,12,25,29,33,37,65,87,-1};
        int len = data.Length-1;

        int target = 17;
        int result = find(target,len,data);
        if (result == -1) {
            System.Console.WriteLine(target+" not found");
        } else {
            System.Console.WriteLine(target+" is at index "+result);
        }
    }

    static int find(int x, int n, int[] s) {
        s[n] = x+1;
        int i=0;
        while (s[i]<x) {
            System.Console.Write(i+" ");
            i++;
        }
        if (x==s[i]) return i;
        return -1;
    }
}
```

Minor improvements of number of comparisons in sequential search

(Tips 1)

In the array, the minimum data is the first, and the maximum data is the last. Thus, depending on x and them, we can change the direction of search.

→ We still need $n-1$ comparisons in the worst case

(Tips 2)

First, compare x with the medium data $s[n/2]$. If x is larger, search the right half, and search the left half otherwise.

→ At most $n/2$ comparisons. Much smaller.

→ It is still $O(n)$, but,,,

Drastic improvement from $O(n)$!!