# Lesson 14. Numerical Algorithms (3): Cryptography 

## I111E - Algorithms and Data Structures

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Topics of today's lecture

- Private-key cryptography
- Caesar cipher
- One-time pad
- Public-key cryptography
- RSA cryptosystem


## Cryptography: setting



Alice wants to send a secret message to her friend Bob.

## Cryptography: setting



But an eavesdropper, Eve, can intercept and read the message.

## Cryptography: setting



Alice must find a way to encode the message, so that:

- Bob can decode it and read it,
- Eve cannot decode it even if she intercepts it.


## Caesar cipher

The Caesar cipher is one of the first ciphers in history, used by the ancient Roman general Julius Caesar in his private correspondence.


ABCDEFGHIJKLMNOPQRSTUVWXYZ


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Each letter is shifted by 3 positions down the alphabet.

## Caesar cipher

So, the message "DEAR BOB, HOW ARE YOU?" becomes "GHDU ERE, KRZ DUH BRX?"


To decode the message, Bob applies the reverse transformation:

## Caesar-like ciphers

Generalizing, we can shift each letter by any fixed number $k$ of positions down the alphabet:


Each character $x$ of Alice's original message is encoded as $E(x)=(x+k) \bmod 26$.
Each character $y$ in the encrypted message received by Bob is decoded as $D(y)=(y-k) \bmod 26$.
Clearly, $D(E(x))=((x+k)-k) \bmod 26=x$.

## Caesar-like ciphers: weaknesses

At the time of Julius Caesar (1st century BC), this cipher must have been effective enough:

- Most of Caesar's enemies were illiterate,
- The literate ones must have thought the encoded message was probably written in some unknown foreign language.


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But by today's standards, Caesar-like ciphers offer no security:

- Brute-force attack: if Eve knows that Alice and Bob are using a Caesar-like cipher, she can guess the shift value $k$ by trying to decode the message in the 26 possible ways.
- Frequency attack: even if Eve does not know about Caesar-like ciphers, she can do some frequency analysis.
E.g., if she knows that the original message is in English, she infers that the most frequent letter must correspond to $E$, etc.


## Frequency analysis of English texts

By counting the appearance rate of every letter in the encoded message, Eve can guess the most frequent letters: E, T, A, etc.


Once she knows the most frequent letters, she can guess entire words, which give her more letters, until the message is decoded.

## One-time pad

Here is a better scheme, the one-time pad:

- Alice and Bob privately agree on a secret binary sequence $r$.
- When Alice wants to send a message to Bob, she converts it to a binary string $x$, and encodes it as $y=x \oplus r$ (cf. report 1 ).
- Bob receives $y$ and decodes it in the same way: $y \oplus r$.

The one-time pad works because

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(x \oplus r) \oplus r=x \oplus(r \oplus r)=x \oplus 0=x
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Example: if $r=01110010$ and $x=11110000$, then Alice sends $y=11110000 \oplus 01110010=10000010$.

Bob decodes it as $10000010 \oplus 01110010=11110000=x$.

## One-time pad: disadvantages

The one-time pad does not have the security flaws of a Caesar-like cipher, because a letter is not always encoded in the same way. However, the one-time pad has other disadvantages:

- The "key" $r$ should be as long as the message $x$. If Alice and Bob want to send more messages, they have to agree on a longer key. (What if Alice used the same key $r$ to encode two messages $x$ and $x^{\prime}$ as $x \oplus r$ and $x^{\prime} \oplus r$ ? Then Eve could intercept them and compute $(x \oplus r) \oplus\left(x^{\prime} \oplus r\right)=x \oplus x^{\prime}$, obtaining information on $x$ and $x^{\prime}$.)
- Alice and Bob have to agree on a key privately. This means that they should be able to communicate safely at least once. What if this is impossible? (E.g., internet money transactions)


## Public-key cryptography

Public-key cryptography is a completely different system:


- Bob has a lock and a key. He sends the open lock to Alice.
- Alice puts her message in a box and locks it with Bob's lock. Then she sends the box to Bob.
- Bob receives the box and unlocks it with his own key.
- Eve cannot open the box because she does not have Bob's key.


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## RSA cryptosystem

A popular and practical public-key cryptosystems is RSA, which was published in 1977 by Rivest, Shamir, and Adleman:


## RSA cryptosystem

First, Bob chooses a public key (i.e., the "lock") and a private key (i.e., Bob's own "key"):

- Bob randomly picks two large prime numbers $p$ and $q$.
- Bob computes $N=p q$ and $\varphi=(p-1)(q-1)$.
- Bob chooses an integer $e$ relatively prime to $\varphi$.
- Bob computes $d$, the inverse of $e$ modulo $\varphi$. (e is invertible, why?)
- Bob publishes $(e, N)$ : his public key, everyone can see it.
- The pair $(d, N)$ is Bob's private key: no one else knows it.


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Then, whenever Alice wants to send a message $x$ to Bob:

- Alice looks up Bob's public key $(e, N)$.
- Alice computes $y=x^{e} \bmod N$ and sends it to Bob.

When Bob receives a message $y$ :

- Bob remembers his private key $(d, N)$.
- Bob decodes $y$ by computing $y^{d} \bmod N$.


## Why RSA works

Why does RSA work? Why is $y^{d} \bmod N$ the same as $x$ ?
Theorem: for every $x$, we have $\left(x^{e}\right)^{d} \equiv x(\bmod N)$.
Proof: $e$ and $d$ are inverses modulo $\varphi$, so $e d \equiv 1(\bmod \varphi)$, or equivalently $e d=k \varphi+1=k(p-1)(q-1)+1$, for some $k$.
Our claim: $x^{e d}-x=x^{k(p-1)(q-1)+1}-x$ is a multiple of $N=p q$.
By Fermat's little theorem, $x^{p-1} \equiv 1(\bmod p)$. It follows that $x^{k(p-1)(q-1)+1}-x=\left(x^{p-1}\right)^{k(q-1)} \cdot x-x \equiv x-x \equiv 0(\bmod p)$.
So $x^{e d}-x$ is a multiple of $p$. By a symmetric argument, it is also a multiple of $q$. But $p$ and $q$ are primes, so it is also a multiple of $p q$.

## Why RSA is secure

All the operations Alice and Bob have to do are easy:

- Bob finds two random primes $p$ and $q$ in $O\left(n^{4}\right)$ average time,
- Bob computes $N$ and $\varphi$ in $O\left(n^{2}\right)$ time,
- Bob picks $e$ and inverts it modulo $\varphi$ in $O\left(n^{3}\right)$ time,
- Alice encodes $x$ by modular exponentiation in $O\left(n^{3}\right)$ time,
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- Bob decodes $y$ by modular exponentiation in $O\left(n^{3}\right)$ time.

If Eve intercepts a message from Alice to Bob and wants to decode it, she has to perform complex operations:

- She knows $e, N$, and $x^{e} \bmod N$. But she cannot easily compute $x$ from these numbers: no efficient algorithm is known for the "modular root" problem.
- She could try to find $p$ and $q$ by factoring $N$, and so compute $\varphi$, and invert $e$ modulo $\varphi$ to find Bob's private exponent $d$. But no efficient factorization algorithm is known.


## RSA and digital signatures

Now Eve is sending messages to Bob pretending to be Alice. So, Alice and Bob need an authentication method: a way Bob can tell which messages come from Alice and which are forged.

Digital signature: Alice chooses her own public key $\left(e^{\prime}, N^{\prime}\right)$ and private key $\left(d^{\prime}, N^{\prime}\right)$ according to the RSA scheme.

- Alice first encodes her message $x$ using her own private key, obtaining $y=x^{d^{\prime}} \bmod N^{\prime}$.
- Alice then encodes $y$ a second time using Bob's public key, obtaining $z=y^{e} \bmod N$.
- When Bob receives $z$, he decodes it with his own private key, obtaining $y$.
- Then, Bob uses Alice's public key on $y$ to obtain $x$.
- If Eve tried to forge messages without knowing Alice's private key, Bob would end up obtaining a meaningless message.

