# **I111E** Algorithms and Data Structures

2019, Term 2-1

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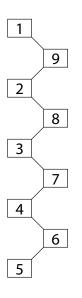
### Problem 1A (10pts):

(a) Starting from an empty Binary Search Tree, we perform the following insertions in order: insert(1), insert(9), insert(2), insert(8), insert(3), insert(7), insert(4), insert(6), insert(5). Draw the resulting Binary Search Tree.

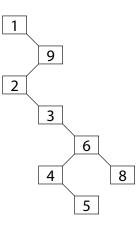
(b) On the same Binary Search Tree of part (a), we perform the following operations in order: delete(8), insert(8), delete(7). Draw the resulting Binary Search Tree.

#### Solution:

(a)



(b)



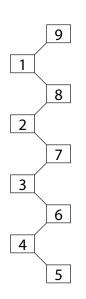
## Problem 1B (10pts):

(a) Starting from an empty Binary Search Tree, we perform the following insertions in order: insert(9), insert(1), insert(8), insert(2), insert(7), insert(3), insert(6), insert(4), insert(5). Draw the resulting Binary Search Tree.

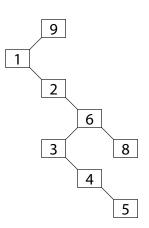
(b) On the same Binary Search Tree of part (a), we perform the following operations in order: delete(8), insert(8), delete(7). Draw the resulting Binary Search Tree.

#### Solution:

(a)



(b)



## Problem 2A (10pts):

(a) Starting from an empty heap, we insert the following numbers in order:
20, 3, 28, 22, 12, 8, 31, 15. Draw the resulting heap in its <u>array</u> representation.
(b) Starting from the heap of part (a), we remove the minimum element from the root <u>twice</u>. Draw the resulting heap in its array representation.

## Solution:

(a)

3 12 8 15 20 28 31 22

(b)

12 15 22 31 20 28

## Problem 2B (10pts):

(a) Starting from an empty heap, we insert the following numbers in order:

19, 2, 27, 23, 11, 9, 32, 16. Draw the resulting heap in its  $\underline{\operatorname{array}}$  representation.

(b) Starting from the heap of part (a), we remove the minimum element from the root <u>twice</u>. Draw the resulting heap in its array representation.

## Solution:

(a)

2 11 9 16 19 27 32 23

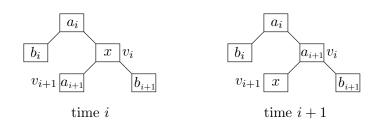
(b)

11 16 23 32 19 27

- **Problem 3 (10pts):** We learned how to deal with a heap after removing the minimum item from its root. Prove that the process does not break the consistency of the heap.
- **Solution:** The removal algorithm takes the last element x of the heap (which is found in a leaf) and places it at the root. Then, x "slides down" the heap until it is larger than both its children nodes. As x slides down, it is exchanged with some values, say  $a_1, a_2, \ldots, a_k$ , originally located in nodes  $v_1, v_2, \ldots, v_k$ , respectively. We only need to prove that the heap's fundamental property (i.e., that the value in any node is smaller that the values in each of its children) remains true for these k nodes, because all other nodes remain unchanged.

Let us prove by induction that the heap's property is preserved on all the  $v_i$ 's. Assume that, at "time *i*", i.e., when x reaches node  $v_i$ , the property is true from node  $v_1$  to node  $v_{i-1}$ . If x is smaller than the values stored in both  $v_i$ 's children nodes, then the algorithm terminates without modifying the heap. In this case, the heap's property is still true for nodes  $v_1, \ldots, v_{i-1}$  (because nothing has changed), and it is also true for  $v_i$ , by our assumption.

Otherwise, x is greater than at least one value stored in the children of  $v_i$ . To complete the proof by induction, we need to show that, at "time i + 1", i.e., when x reaches node  $v_{i+1}$ , the property is true from node  $v_1$  to node  $v_i$ . Let  $a_{i+1}$  and  $b_{i+1}$  be the values stored in the children of  $v_i$ , with  $a_{i+1} < b_{i+1}$ . By assumption, we have  $a_{i+1} < x$ , and so the heap's property is satisfied for  $v_i$  at time i + 1. We still have to prove that the property remains satisfied from node  $v_1$  to node  $v_{i-1}$  at time i + 1. This is also true from  $v_1$  to  $v_{i-2}$  by inductive hypothesis (because the values in these nodes and their children did not change from time i to time i + 1), but we still need to check it for node  $v_{i-1}$ . This node's value remained unchanged (it is still  $a_i$ ), but one of its children changed value from x to  $a_{i+1}$ . To conclude the proof, we need to show that  $a_i < a_{i+1}$ : this is true because, in the original heap (i.e., at time 0),  $a_i$  was in  $v_i$ , and  $a_{i+1}$  was in its child  $v_{i+1}$ , implying that  $a_i < a_{i+1}$  by the consistency of the original heap.



- **Problem 4 (20pts):** Given the array  $a[0] \sim a[n-1]$  consisting of *n* real numbers, we want to compute the function  $f(x) = a[0] + a[1]x + a[2]x^2 + \cdots + a[n-1]x^{n-1}$ . Consider the two following algorithms:
  - 1. Following the definition, compute  $a[0] + a[1] \times x + a[2] \times x \times x + a[3] \times x \times x \times x + \dots + a[n-1] \times x \times \dots \times x$  step by step.
  - 2. Compute the function after the following modification:  $a[0] + x \times (a[1] + x \times (a[2] + x \times (a[3] + x \times (a[4] + \dots + x \times (a[n-2] + x \times a[n-1])))))$

Evaluate the number of summation and multiplication operations respectively as functions of n, and discuss which of the two algorithms is better.

**Solution:** For (1), the summation operations are n-1, and the multiplication operations are

$$\sum_{i=0}^{n-1} i = n(n-1)/2 = O(n^2).$$

For (2), the summation operations are n-1, and the multiplication operations are n-1 = O(n). Algorithm (2) is better, because it performs the same number of summations and asymptotically fewer multiplications than (1).

- **Problem 5 (20pts):** Two arrays of size n are given: A is sorted in increasing order, and B is sorted in decreasing order. Give an efficient algorithm that determines if there is an index i such that A[i] = B[i], and determine its running time.
- **Solution:** If we compare A[i] and B[i], we can determine if the required index lies to the left or to the right of *i*: if A[i] < B[i], then the left portion of the two arrays can be excluded, and vice versa. This suggests that the binary search algorithm can be adapted to this problem. Here is a possible C implementation:

```
int problem_5(int A[], int B[], int n) {
    int left = 0;
    int right = n - 1;
    do {
        int mid = (left + right) / 2;
        if (A[mid] == B[mid]) return mid;
        if (A[mid] > B[mid]) right = mid - 1;
        else left = mid + 1;
    } while (left <= right);
    return -1;
}</pre>
```

The running time is the same as binary search:  $O(\log n)$ .

**Problem 6A (20pts):** Let  $(a_n)_{n\geq 1}$  be the following sequence of real numbers:

$$a_n = \begin{cases} 1 & \text{if } n = 1\\ 0 & \text{if } n = 2\\ \left(\frac{n}{n-2} + a_{n-1}\right) \cdot a_{n-2} & \text{if } n > 2 \end{cases}$$

Describe an optimal algorithm that takes n as input and outputs  $a_n$ . What are the running time and space complexity of your algorithm?

Solution: We can prove by induction that

$$a_n = \begin{cases} 0 & \text{if } n \text{ is even} \\ n & \text{if } n \text{ is odd} \end{cases}$$

This is true for n = 1 and n = 2. Suppose now that n > 2, and let the inductive hypothesis hold for all  $a_i$ 's up to  $a_{n-1}$ . If n is even, then n-1 is odd and n-2 is even, and so  $a_{n-1} = n-1$  and  $a_{n-2} = 0$ . Hence,  $a_n = \left(\frac{n}{n-2} + n - 1\right) \cdot 0 = 0$ , as desired. On the other hand, if n is odd, then  $a_{n-1} = 0$  and  $a_{n-2} = n-2$ , and therefore  $a_n = \left(\frac{n}{n-2} + 0\right) \cdot (n-2) = n$ . This concludes the proof. So, to compute  $a_n$ , we only have to test  $n \mod 2$ . Here is a C implementation of the algorithm:

```
int problem_6A(int n) {
    if (n % 2 == 0) return 0;
    else return n;
}
```

The running time and space complexity are constant, which is obviously optimal.

**Problem 6B (20pts):** Let  $(a_n)_{n\geq 1}$  be the following sequence of real numbers:

$$a_n = \begin{cases} 0 & \text{if } n = 1\\ 2 & \text{if } n = 2\\ \left(\frac{n}{n-2} + a_{n-1}\right) \cdot a_{n-2} & \text{if } n > 2 \end{cases}$$

Describe an optimal algorithm that takes n as input and outputs  $a_n$ . What are the running time and space complexity of your algorithm?

Solution: We can prove by induction that

$$a_n = \begin{cases} n & \text{if } n \text{ is even} \\ 0 & \text{if } n \text{ is odd} \end{cases}$$

This is true for n = 1 and n = 2. Suppose now that n > 2, and let the inductive hypothesis hold for all  $a_i$ 's up to  $a_{n-1}$ . If n is even, then n-1 is odd and n-2 is even, and so  $a_{n-1} = 0$  and  $a_{n-2} = n-2$ . Hence,  $a_n = \left(\frac{n}{n-2} + 0\right) \cdot (n-2) = n$ , as desired. On the other hand, if n is odd, then  $a_{n-1} = n-1$  and  $a_{n-2} = 0$ , and therefore  $a_n = \left(\frac{n}{n-2} + n - 1\right) \cdot 0 = 0$ . This concludes the proof.

So, to compute  $a_n$ , we only have to test  $n \mod 2$ . Here is a C implementation of the algorithm:

```
int problem_6B(int n) {
    if (n % 2 == 0) return n;
    else return 0;
}
```

The running time and space complexity are constant, which is obviously optimal.