

# I111E Algorithms & Data Structures

## 6. Data structure (2)

### Stack, Queue, and Heap

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All materials are available at

<http://www.jaist.ac.jp/~uehara/couse/2019/i111e>

# Announcement

- 1<sup>st</sup> report: deadline is **November 11, 10:50am.**
- Mid term examination (30pts):
  - **November 11, 13:30-15:10**
  - Range: Up to Today!
  - Choices are;
    - Anything without electricity (w/o cell/ipad/...)
    - Textbooks, copy of slides, and hand written notes
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    - Only pens and pencils

# Short Summary So Far

- We have combinations of 3 issues; **data structure**, **what to do**, and **algorithm**
- What to do: E.g., access to the  $i$ -th item, **search**, add/remove/insert
- Array: access in  $O(1)$ , search in  $O(n)$ , add tail in  $O(1)$ , insert/remove in  $O(n)$
- Array **in order**: search in  $O(\log n)$ , etc.
- **Linked list**: access in  $O(n)$ , add/remove in  $O(1)$ , etc.
- Today's topic: Three abstract data structures for addition and take out

# Representative data structures

- Stack: The **last added item** will be taken first (LIFO: Last in, first out)
- Queue: The **first added item** will be taken first (FIFO: first in, first out)
- Heap: The **smallest item** will be taken from the stored data
- Implementation of concrete data structures like array and linked list.

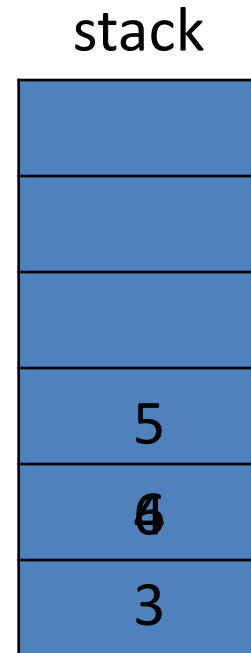
- What “Stack” is?
- Implementation by array
- Implementation by linked list

# **STACK**

# Stack

- The structure that the last data will be popped first (LIFO: Last in, first out)
- Image: “stack” of dishes, ...
- Operations
  - push: add new data into stack
  - pop: take the data from stack
- Pointer
  - top: top element in the stack (where the next item is put)

top ↗



↗ push 3;  
push 4;  
push 5;  
pop; → 5  
pop; → 4  
push 6;  
pop; → 6

# Implementation of stack by an array

- Store a data: push(x)

```
stack[top]=x;  
top=top+1;
```

Store the place for the “next” one  
Increment the “next” place

- Take the data: pop()

```
top=top-1;  
return stack[top];
```

Decrement the “next” place  
Return the current one

- What kind of errors?

- Overflow: push (x) when  $top == size(stack)$
- Underflow: pop() when  $top == 0$

# Implementation of stack by an array

```
class Stack {
    private int[] data;
    private int top;
    public Stack (int maxsize) {
        data = new int[maxsize];
        top=0;
    }
    public void push(int x) {
        if (top < data.Length) {
            data[top] = x;
            top ++;
        } else {
            System.Console.WriteLine("overflow");
        }
    }
    public int pop() {
        if (top > 0) {
            top --;
            return data[top];
        } else {
            System.Console.WriteLine("underflow");
            return -1;
        }
    }
    public void print() {
        for (int i=0; i<top; i++) {
            System.Console.Write(data[i]+" ");
        }
        System.Console.WriteLine(" top <- "+top);
    }
}
```

Initialization

Exercise: Resize the array  
when it overflows

Display for check

```
public class i111_06_p7 {
    public static void Main () {
        Stack st = new Stack(6);
        st.push(3);
        st.print();
        st.push(4);
        st.print();
        st.push(5);
        st.print();
        System.Console.WriteLine("pop="+st.pop());
        st.print();
    }
}
```

Make it of size 6

Output the result of pop



# Implementation of stack by a linked list

```
class StackLL {
    private Node top;

    public StackLL () {
        top=null;
    }

    public void push(int x) {
        Node n = new Node(x, top);
        top = n;
    }

    public int pop() {
        if (top != null) {
            int topvalue = top.data;
            top = top.next;
            return topvalue;
        } else {
            System.Console.WriteLine("underflow");
            return -1;
        }
    }

    public void print() {
        Node n = top;
        while (n != null) {
            System.Console.Write(n.data+" ");
            n = n.next;
        }
        System.Console.WriteLine(" top -> ");
    }
}
```

Top node

No need to check overflow

```
public class i111_06_p8 {
    public static void Main () {
        StackLL st = new StackLL();
        st.push(3);
        st.print();
        st.push(4);
        st.print();
        st.push(5);
        st.print();
        System.Console.WriteLine("pop="+st.pop());
        st.print();
    }
}
```

Size is not needed

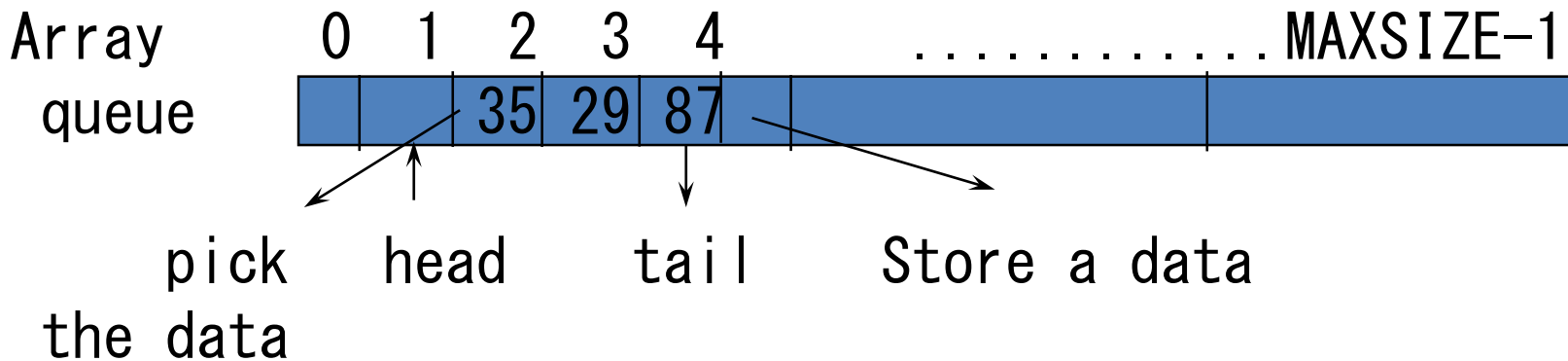
```
class Node {
    public int data;
    public Node next;
    public Node(int i, Node n) {
        this.data = i;
        this.next = n;
    }
}
```

“Queue” means “(waiting) line”

**QUEUE**

# Queue

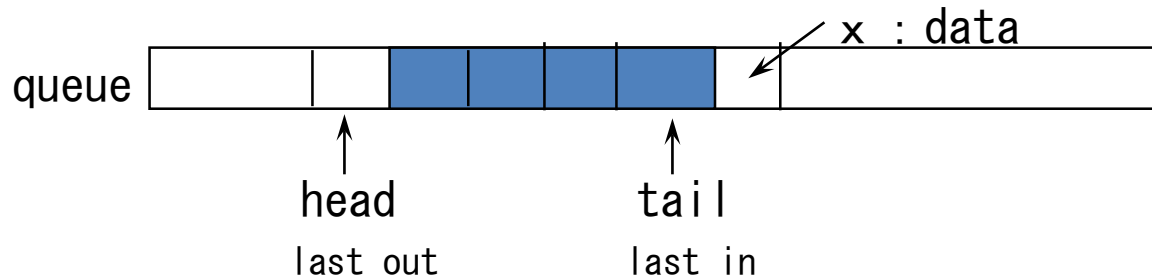
- The first data will be taken first  
(FIFO: first in, first out)
- Image: In front of famous restaurant



Data are stored in from `queue[head+1]` to `queue[tail]`

You may feel it is not intuitive since it is not from `queue[head]` to `queue[tail]`...

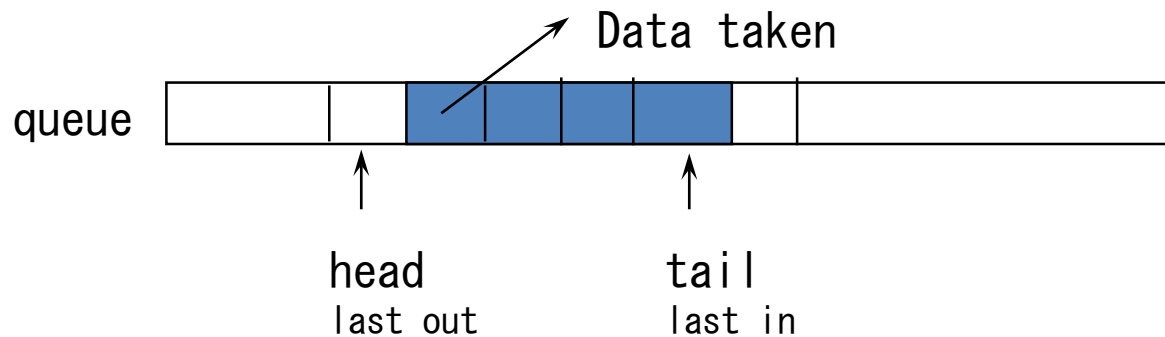
# Simple implementation of queue by an array: Add a data



```
void append(int x){  
    tail = tail + 1;  
    queue[tail] = x;  
}
```

Move to the place to put  
(and place put the last)

# Simple implementation of queue by an array: Take a data



```
int get(){  
    head = head + 1;  
    return queue[head];  
}
```

Move to the place to be taken  
(and place taken the last)

# Problem of simple implementation of queue: Waste area...

- What happens when we use queue as follows?

```
int queue[MAX_SIZE];
int head, tail;
void main(){
    head=0; tail=0;
    append(3); get();
    append(4); get();
}
```

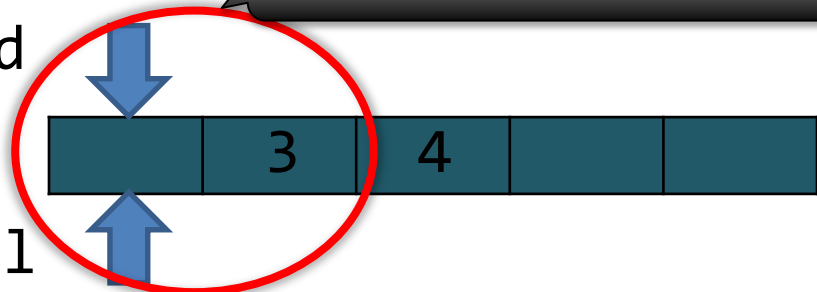
```
int get(){
    head = head + 1;
    return queue[head];
}
```

```
void append(int x){
    tail = tail + 1;
    queue[tail] = x;
}
```

~~append(3)~~

head

tail



# Solution: Use array *cyclic*



```
void append(int x){  
    tail = (tail + 1) % MAXSIZE;  
    queue[tail] = x;  
}  
int get(){  
    head = (head + 1) % MAXSIZE;  
    return queue[head];  
}
```

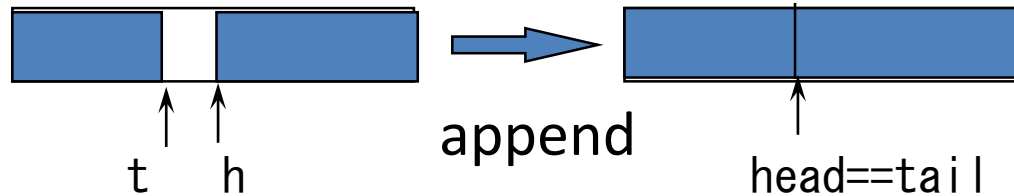
Return to 0

Return to 0

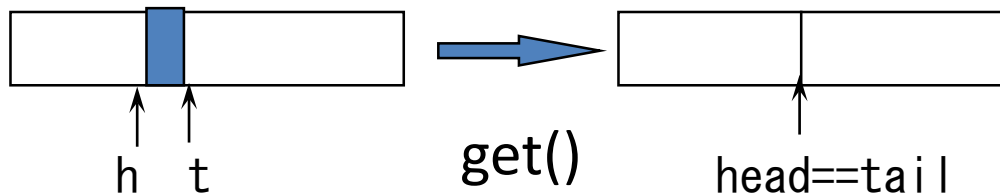
# Problem of queue in cyclic array:

We cannot distinguish between **full** and **empty**

When it is **full**;



When it is **empty**;



In both cases, we have  $head==tail$ .

We may count the number, but it is not a good situation to be full...



Solution: We define **full** when we have tail==head when append.

```
void append(int x){
    tail = (tail + 1) % MAXSIZE;
    queue[tail] = x;
    if(tail == head) printf("Queue Overflow ");
}
int get(int x){
    if(tail == head) printf("Queue is empty ");
    else {
        head = (head + 1) % MAXSIZE;
        return queue[head];
    }
}
```

# Implementation of Queue in C#

```
class Queue {
    private int[] queue;
    private int head;
    private int tail;
    public Queue (int maxsize) {
        queue = new int[maxsize];
        head = 0;
        tail = 0;
    }
    public void append(int x) {
        tail = (tail +1) % queue.Length;
        queue[tail] = x;
        if (tail == head) {
            System.Console.WriteLine("overflow");
        }
    }
    public int get() {
        if (tail == head) {
            System.Console.WriteLine("underflow");
            return -1;
        }
        head = (head + 1) % queue.Length;
        //int t=queue[head]; queue[head]=0; return t;
        return queue[head];
    }
    public void print() {
        for (int i=0; i<queue.Length; i++) {
            System.Console.Write(queue[i]+" ");
        }
        System.Console.WriteLine("h"+head+" t"+tail);
    }
}
```

It is better to initialize by -1

full!!

empty!!

```
public class i111_06_p16 {
    public static void Main () {
        Queue qu = new Queue(3);
        qu.append(3); qu.print();
        qu.append(4); qu.print();
        System.Console.WriteLine("get="+qu.get());
        qu.print();
        qu.append(5); qu.print();
        System.Console.WriteLine("get="+qu.get());
        qu.print();
        qu.append(6); qu.print();
    }
}
```

Make it size 3

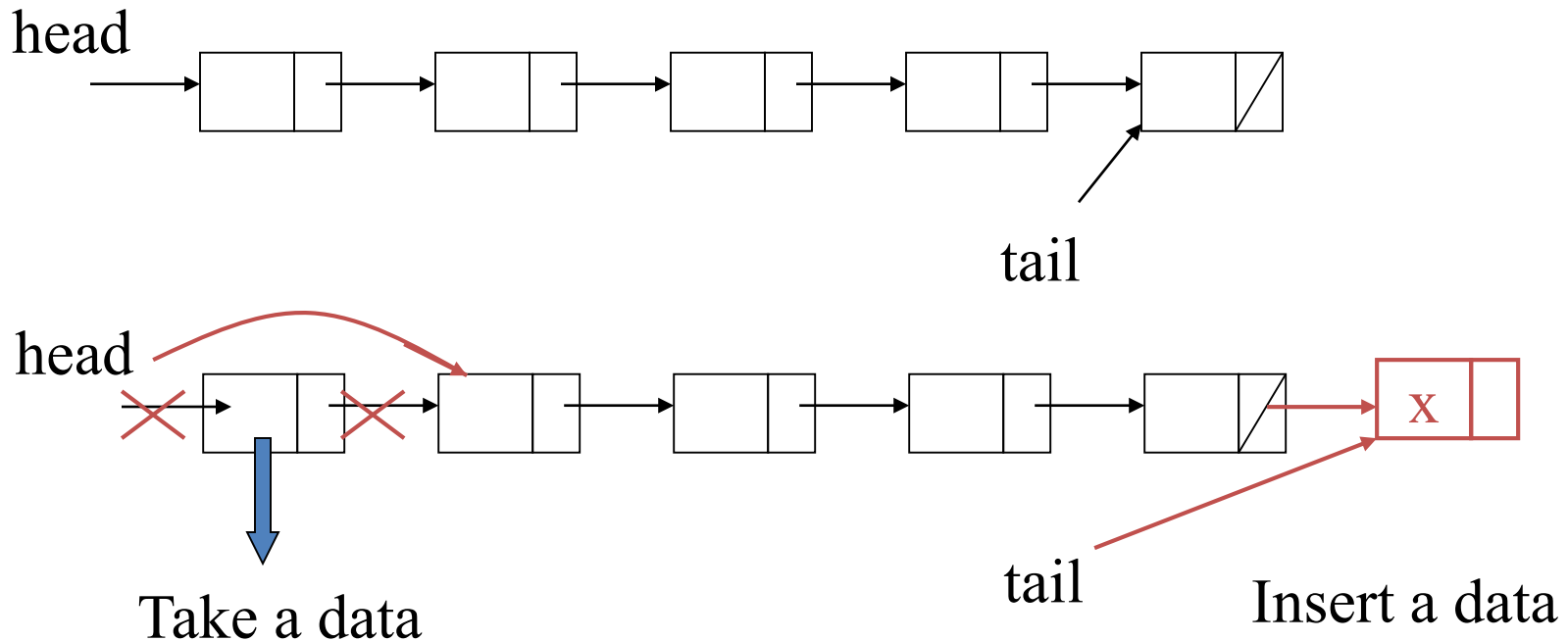
Output result by get

✂Erase the taken data

# Implementation of queue by linked list

Insertion of a data : From tail of the list: pointer tail

Take a data : From top of the list: pointer head



**Exercise: Make program by yourself!**

# Implementation of Queue in C#

Size is not needed

```
class QueueLL {
    private Node head;
    private Node tail;
    public QueueLL () {
        head = null;
        tail = null;
    }
    public void append(int x) {
        Node n = new Node(x, null);
        if (head == null) {
            head = n;
        } else {
            tail.next = n;
        }
        tail = n;
    }
    public int get() {
        if (head == null) {
            System.Console.WriteLine("underflow");
            return -1;
        }
        int headValue = head.data;
        head = head.next;
        return headValue;
    }
    public void print() {
        Node n = head;
        while (n != null) {
            System.Console.Write(n.data+" ");
            n = n.next;
        }
        System.Console.WriteLine(" head -> tail");
    }
}
```

Ex: What happens?

Try to take data from empty

```
public class i111_06_p18 {
    public static void Main () {
        QueueLL qu = new QueueLL();
        qu.append(3); qu.print();
        qu.append(4); qu.print();
        System.Console.WriteLine("get="+qu.get());
        qu.print();
        qu.append(5); qu.print();
        System.Console.WriteLine("get="+qu.get());
        qu.print();
        qu.append(6); qu.print();
    }
}
```

```
class Node {
    public int data;
    public Node next;
    public Node(int i, Node n) {
        this.data = i;
        this.next = n;
    }
}
```

- “heap” also means “stack”, but more “mountain”-like shape?
- Simple implementation by array
- Implementation by array using an idea of binary tree

# HEAP

# Heap

- Add/remove data
- Elements can be taken from minimum (or maximum) in order

**q. How can we implement?**

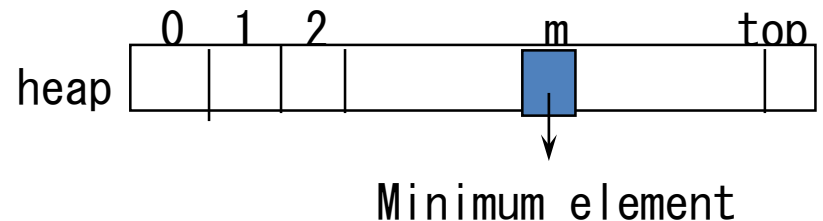
# Implement of heap (1):

## Simple implemen

An array `heap[]` and `top`,  
the number of data

- Initialize: `top = 0`
- **Insert** data:  
`heap[top] = x;`  
`top = top + 1;`
- Take **minimum one**:  
Find the minimum element  
`heap[m]` in `heap[]` and  
output. Then copy  
`heap[top-1]` to  
`heap[m]`, and decrease `top`  
by 1.

```
m = 0;  
for(i=1; i<top; i++)  
    if(heap[i] < heap[m])  
        m = i;  
x = heap[m];  
heap[m] = heap[top-1];  
top = top - 1;  
return x;
```



# Problem of simple implementation: Slow for reading data

- Store:  $O(1)$  time

```
heap[top++] = x
```

← As same as  
heap[top] = x;  
top = top + 1;  
in C

- Take:  $O(n)$  time

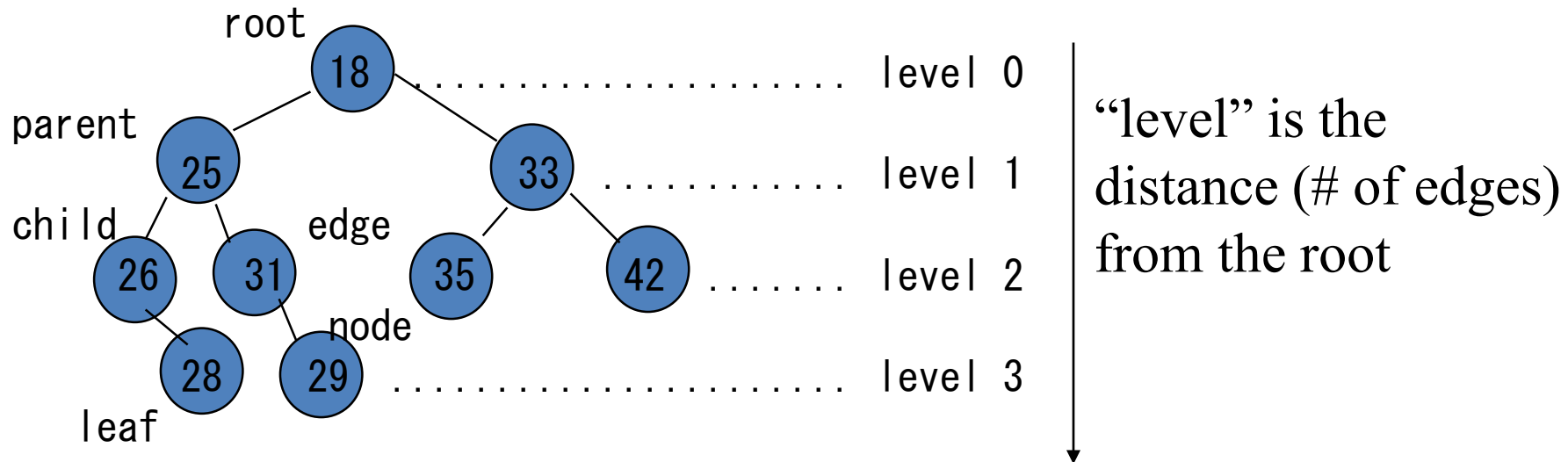
```
m = 0;  
for(i=1; i<top; i++)  
    if(heap[i] < heap[m])  
        m = i;  
x = heap[m];  
heap[m] = heap[top-1];  
top = top - 1;  
return x;
```



【Important】

【Note: different from binary search tree!】

# Implementation of heap by binary tree



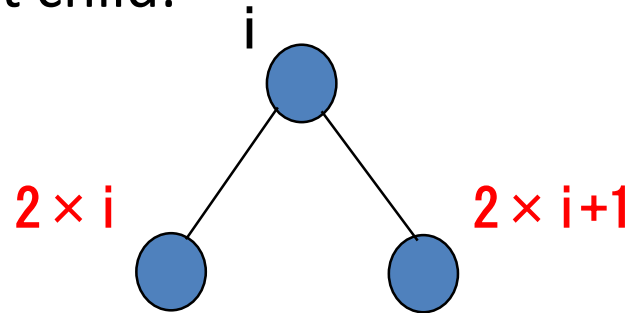
**root**: node that has no parent  
**leaf**: node that has no child

A tree is called a *binary tree*

if each node has at most 2 children

# Property of binary tree for heap

1. Assign 1 to the root.
2. For a node of number  $i$ , assign  $2 \times i$  to the left child and assign  $2 \times i + 1$  to the right child:



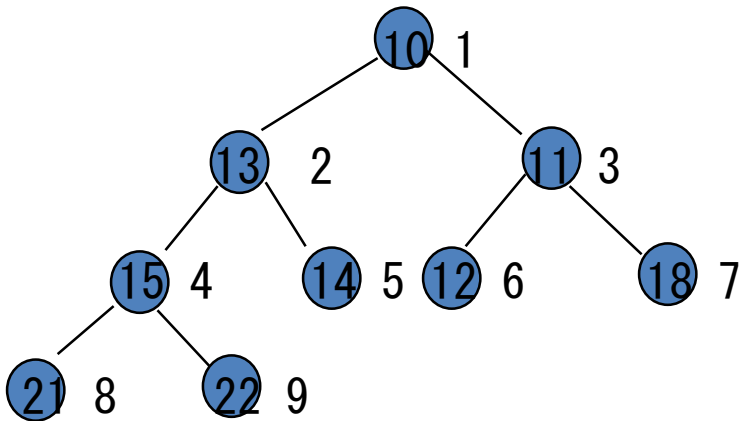
3. No nodes assigned by the number greater than  $n$ .
4. For each edge, parent stores data smaller than one in child.

**The maximum level of heap:  $[\log_2(n+1) - 1]$**

Each node has a unique path from the root, and its length is  $O(\log n)$ .

※ Some textbooks prefer to start from 0 instead of 1. In this case, considering children as  $2i+1$  and  $2i+2$ , we have the same structure.

# Example of a heap by binary tree



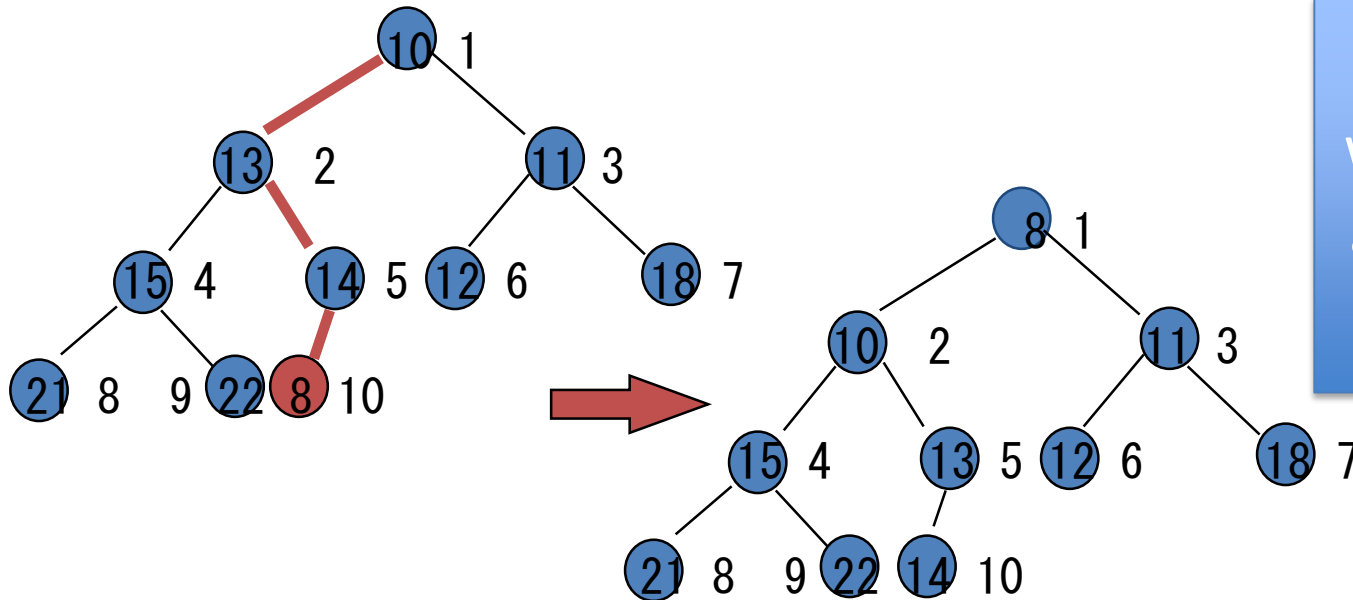
1. Assign 1 to the root.
2. For a node of number  $i$ , assign  $2 \times i$  to the left child and assign  $2 \times i + 1$  to the right child.
3. No nodes assigned by the number greater than  $n$ .
4. For each edge, **parent stores data smaller than one in child.**

We can use an array, instead of linked list!

1	2	3	4	5	6	7	8	9
10	13	11	15	14	12	18	21	22

# Add a data to heap

- (1) temporarily, add data to node  $n+1$  ( $n+1^{\text{st}}$  element in array)
- (2) **traverse to the root** step by step, and if parent  $>$  child then swap parent and child

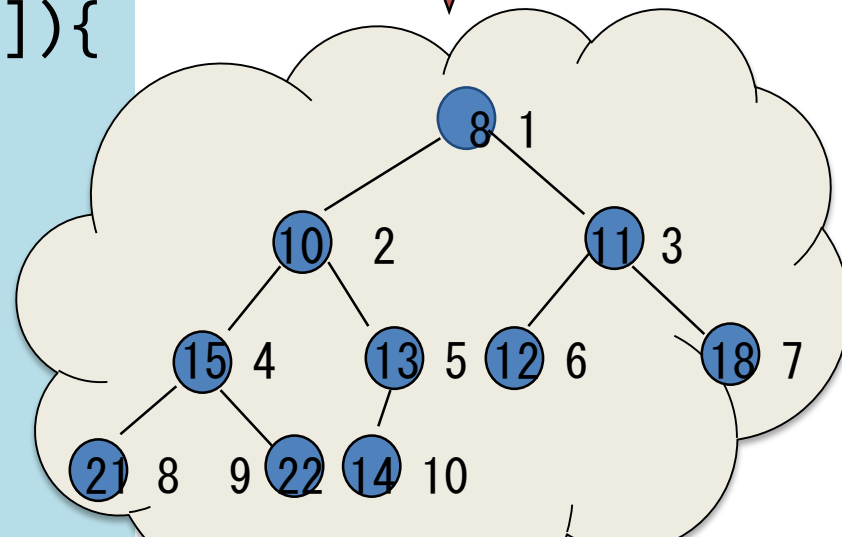
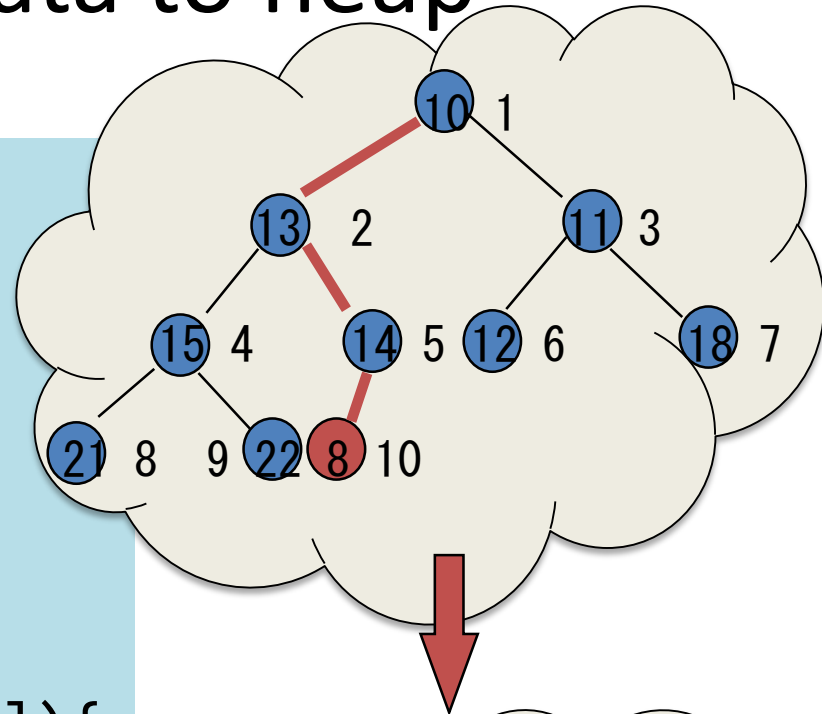


Exercise:  
Why does this  
algorithm has  
consistency?

That is, from  $n+1^{\text{st}}$  node to the root, the data are in order. This algorithm does not occur any problem with any other part of tree.

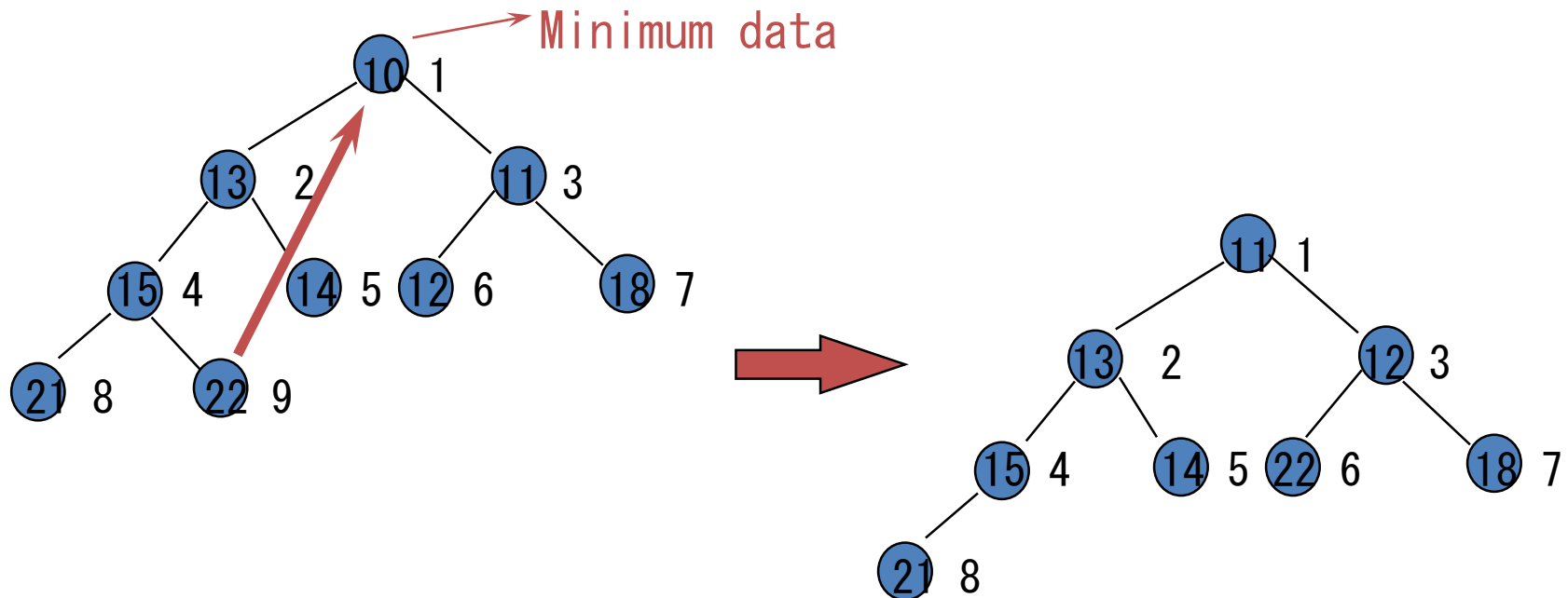
# Program for adding a data to heap

```
void pushHeap(int x){
    int i, j;
    if(++n >= MAXSIZE)
        stop("Heap Overflow");
    else{
        heap[n] = x;
        i=n; j=i/2;
        while(j>0 && x < heap[j]){
            heap[i] = heap[j];
            i=j; j=i/2;
        }
        heap[i] = x;
    }
}
```



# Heap: **Take** the minimum item

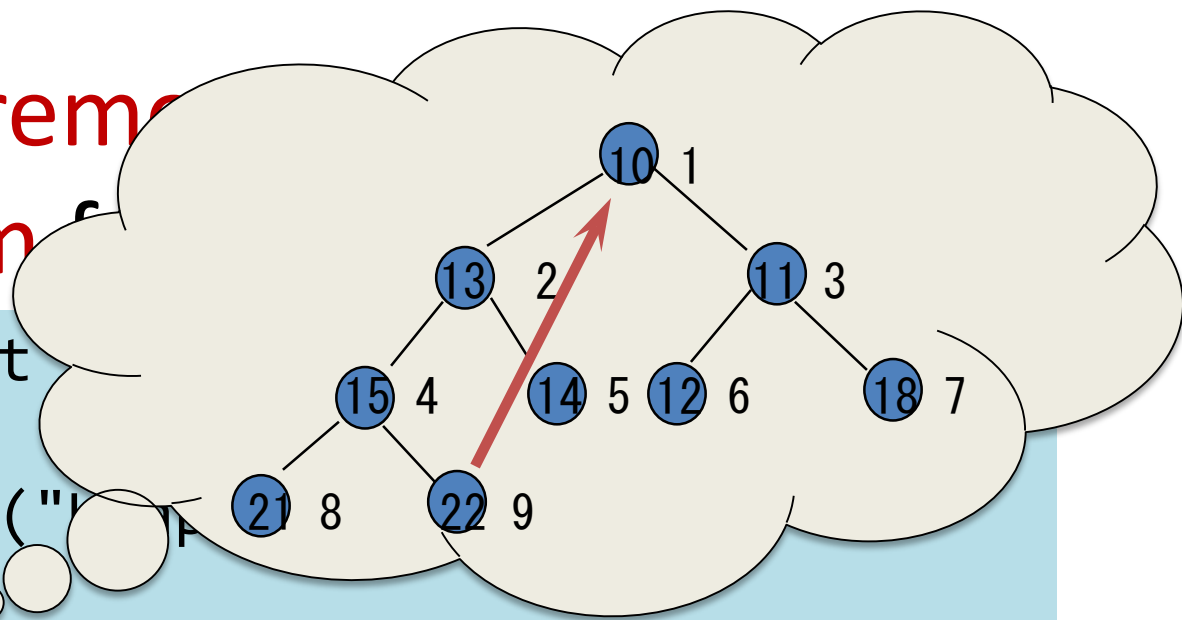
- (1) Take the minimum data at the root
- (2) Copy the last item (of number n) to the root
- (3) Traverse **from the root to a leaf** as follows  
For each pair of two children, choose the **smaller** one, and exchange parent and child if child is smaller than parent.



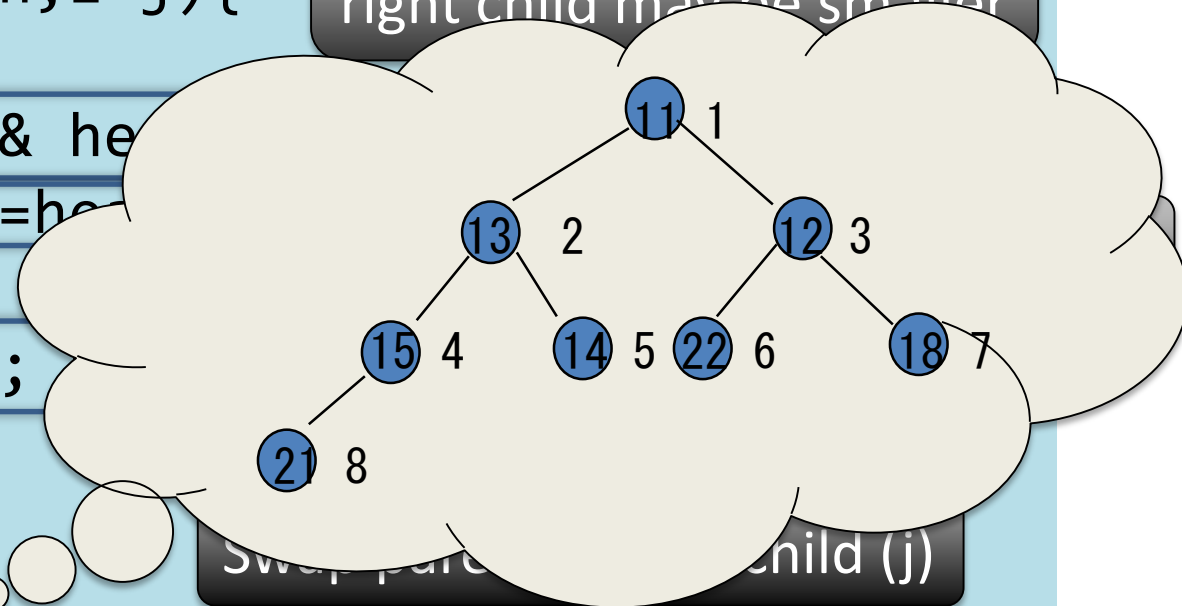
# Program for removing an item from a heap

item from a heap

```
int* deleteMin(int heap[], int n) {
    int x, i, j, t;
    if(n == 0) stop("Heap is empty");
    else{
        heap[1]=heap[n--];
        for(i=1;i*2<=n;i=j){
            j=i*2;
            if(j+1<=n && heap[j+1]<heap[j])
                j=j+1;
            if(heap[i]>heap[j])
                t=heap[i];
                heap[i]=heap[j];
                heap[j]=t;
            }
        }
    }
    return heap;}
}
```



Node i has child && right child may be smaller



Swap parent with child (j)

# Time complexity of binary heap

- Let  $n$  be the size of heap
  - Addition:  $O(\log n)$
  - Removal:  $O(\log n)$
- Each operation runs in time proportional to the depth of the heap
- The depth of heap is almost  $\log n$



# Summary

- Stack: Structure that the last data will be popped first (LIFO: Last in, first out)
  - Queue: The first data will be took first (FIFO: first in, first out)
  - Heap: Elements are taken from minimum in order
  - Implemented by array/linked list
  - Heap is efficient by using binary tree
- Q: How about heap by linked list?

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