

Introduction to Algorithms and Data Structures

Lesson 9: Data structure (3) Stack, Queue, and Heap

Professor Ryuhei Uehara,
School of Information Science, JAIST, Japan.

uehara@jaist.ac.jp

<http://www.jaist.ac.jp/~uehara>

Representative data structure

- Stack: The last added item will be taken first (LIFO: Last in, first out)
- Queue: The first added item will be taken first (FIFO: first in, first out)
- Heap: The smallest item will be taken from the stored data

Stack

- The structure that the last data will be popped first (LIFO: Last in, first out)
- Operations
 - push: add new data into stack
 - pop: take the data from stack
- Pointer
 - top: top element in the stack (where the next item is put)

top ↗



↗ push 3;
push 4;
push 5;
pop; → 5
pop; → 4
push 6;
pop; → 6

Implementation of stack by an array

- Store a data: push(x)

```
stack[top]=x;  
top=top+1;
```

- Take the data: pop()

```
top=top-1;  
return stack[top];
```

- What kind of errors?
 - Overflow: push (x) when top == size(stack)
 - Underflow: pop(x) when top == 0

Implementation of stack by an array

```
int stack[MAXSIZE];
int top = 0;
void push(int x){
    if(top < MAXSIZE){
        stack[top] = x; top = top + 1;
    } else
        printf("STACK overflow");
}
int pop(){
    if(top > 0){
        top = top - 1; return stack[top];
    } else
        printf("STACK underflow");
}
```

Implementation of stack by linked list

- Point: You don't need to fix the size of stack

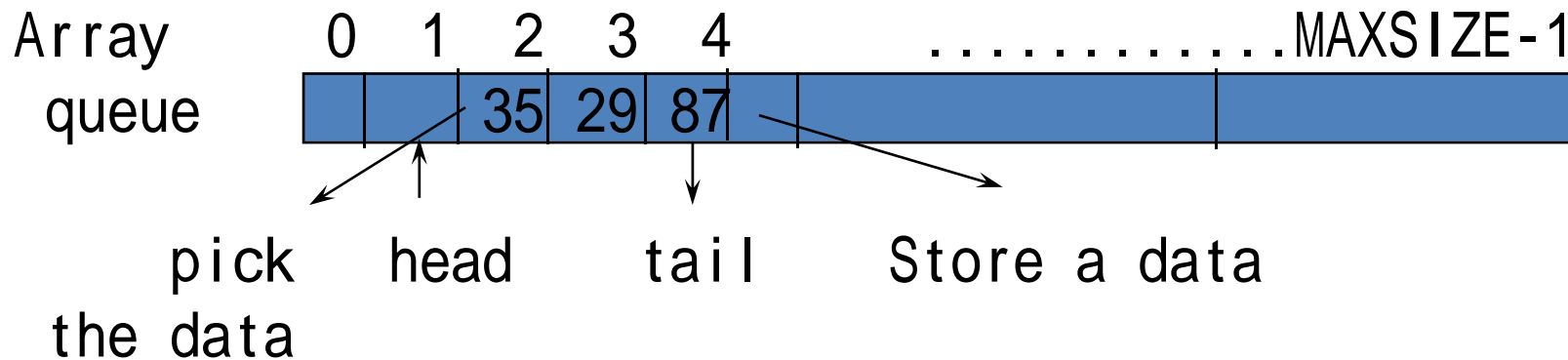
```
typedef struct{
    int data; struct list_t *next;
}list_t;
```

```
list_t* push(list_t *top,int x){
    list_t *ptr;
    ptr=(struct list_t*) malloc(sizeof(list_t));
    ptr->data=x; ptr->next=top; return ptr;
}
list_t* pop(list_t *top){
    list_t *ptr; ptr=top->next; free(top); return ptr;
}
```

It is not necessary if the language has garbage collection

Queue

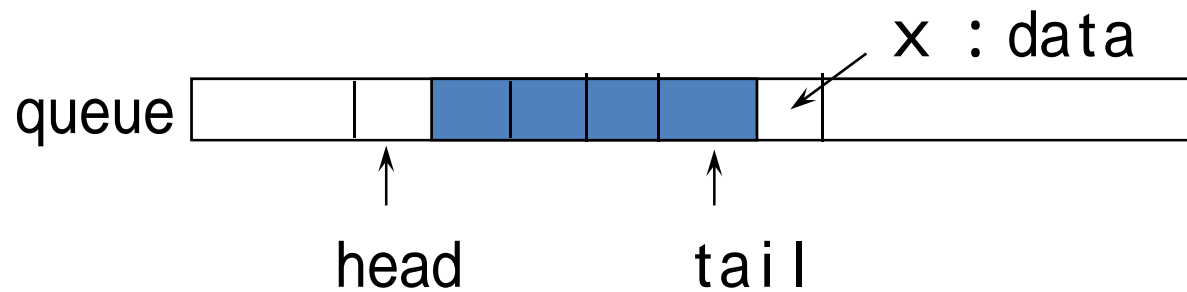
- The first data will be taken first
(FIFO: first in, first out)



Data are stored in

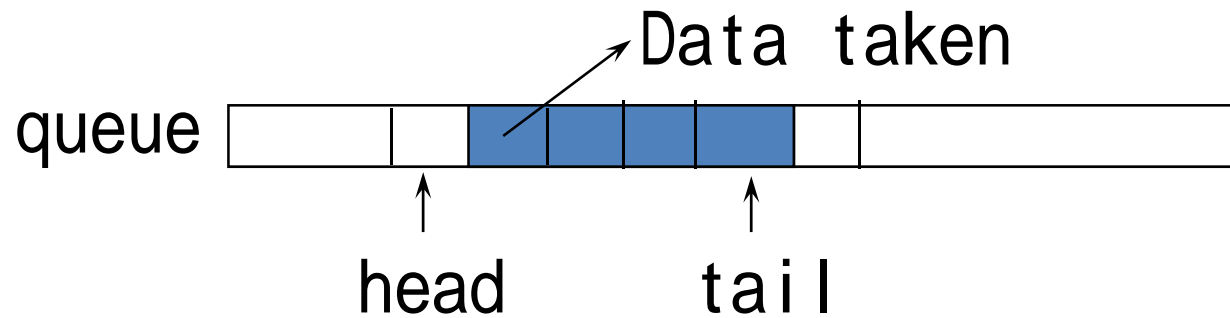
from `queue[head+1]` to `queue[tail]`

Add a data into queue



```
void append(int x){  
    tail = tail + 1;  
    queue[tail] = x;  
}
```


Simple implementation of queue by array: take a data



```
int get(){  
    head = head + 1;  
    return queue[head];  
}
```

Problem of simple implementation of queue: Waste area...

- What happens when we use queue as follows?

```
int queue[MAX_SIZE];
int head, tail;
void main(){
    head=0; tail=0;
    append(3); get();
    append(4); get();
}
```

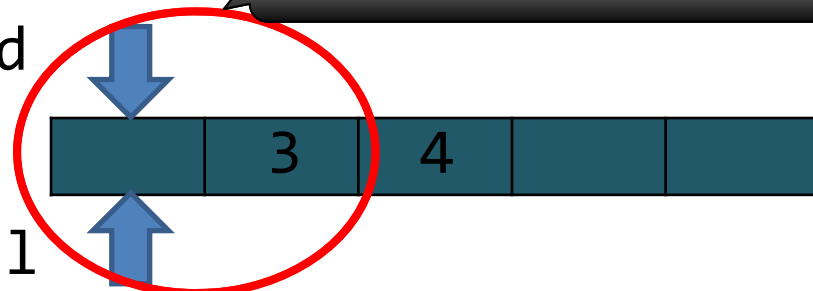
```
int get(){
    head = head + 1;
    return queue[head];
}
```

```
void append(int x){
    tail = tail + 1;
    queue[tail] = x;
}
```

~~append(4)~~

head

tail



We won't use → waste

Solution: Use array *cyclic*



```
void append(int x){  
    tail = (tail + 1) % MAXSIZE;  
    queue[tail] = x;  
}  
int get(){  
    head = (head + 1) % MAXSIZE;  
    return queue[head];  
}
```

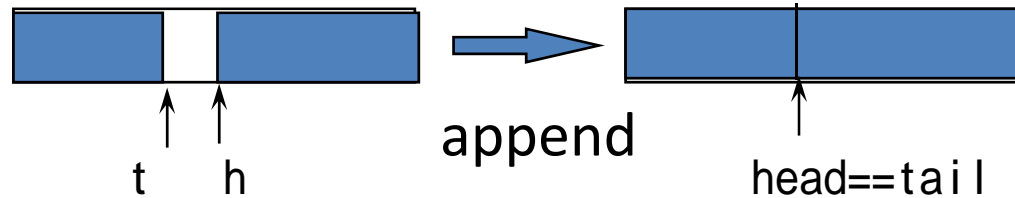
Return to 0

Return to 0

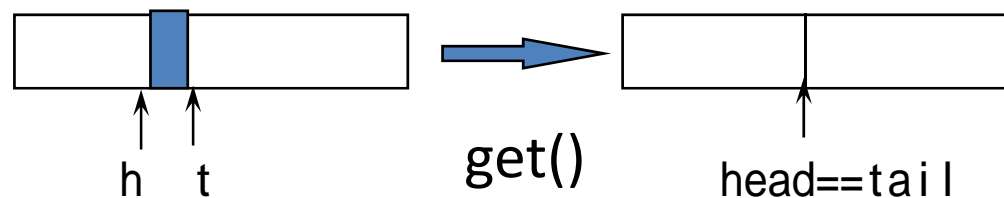
Problem of queue in cyclic array:

We cannot distinguish between (full) and (empty)

When it is full;



When it is empty;



In both cases, we have $head == tail$.

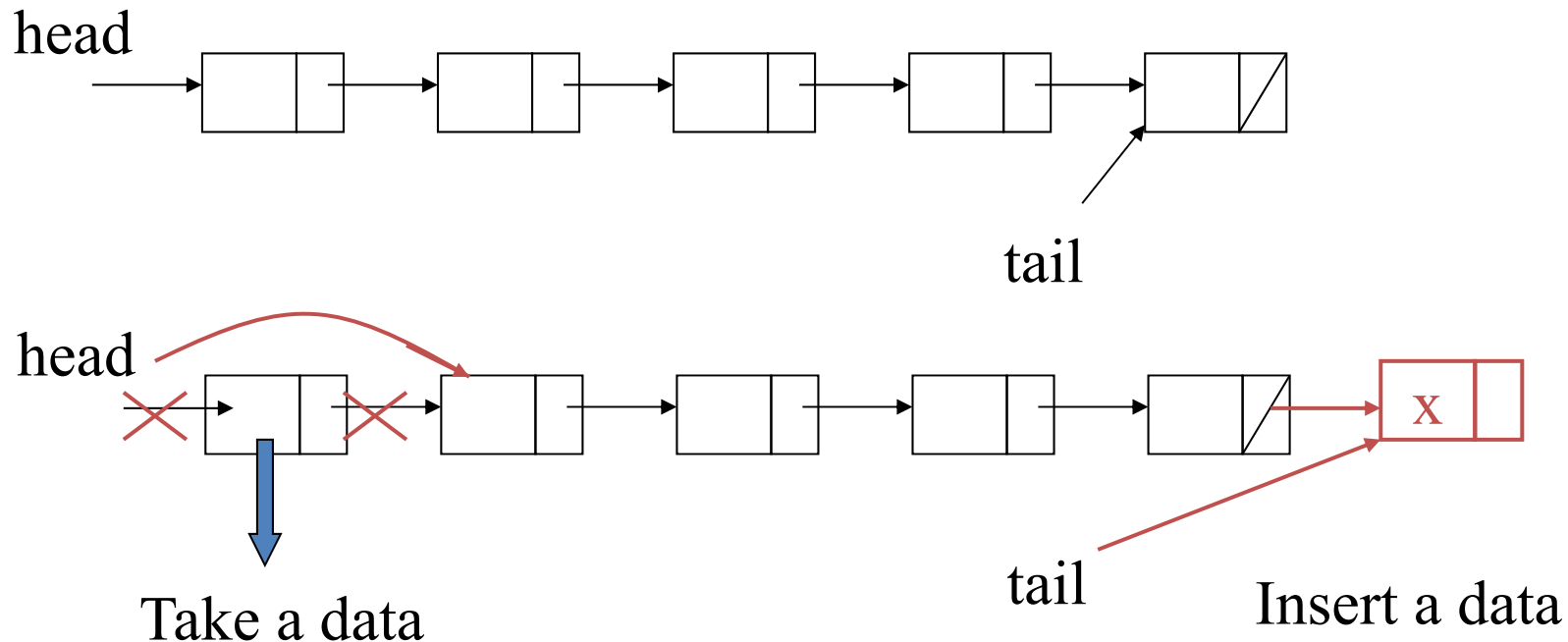
Solution: We define (full) when we have $\text{tail} == \text{head}$ when append.

```
void append(int x){
    tail = (tail + 1) % MAXSIZE;
    queue[tail] = x;
    if(tail == head) printf("Queue Overflow ");
}
int get(int x){
    if(tail == head) printf("Queue is empty ");
    else {
        head = (head + 1) % MAXSIZE;
        return queue[head];
    }
}
```

Implementation of queue by linked list

Insertion of a data : From tail of the list: pointer `tail`

Take a data : From top of the list: pointer `head`



Exercise: Make program by yourself!

Heap

- Add/remove data
- Elements can be taken from minimum (or maximum) in order

q. How can we implement?

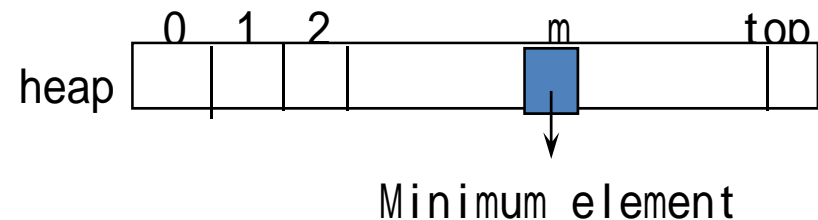
Implement of heap (1):

Simple implementation

An array `heap[]` and `top`,
the number of data

- Initialize: `top = 0`
- Insert data:
`heap[top] = x;`
`top = top + 1;`
- Take minimum one:
Find the minimum element
`heap[m]` in `heap[]` and
output. Then copy
`heap[top-1]` to
`heap[m]`, and decrease `top`
by 1.

```
m = 0;
for(i=1; i<top; i++)
    if(heap[i] < heap[m])
        m = i;
x = heap[m];
heap[m] = heap[top-1];
top = top - 1;
return x;
```



Problem of simple implementation: Slow for reading data

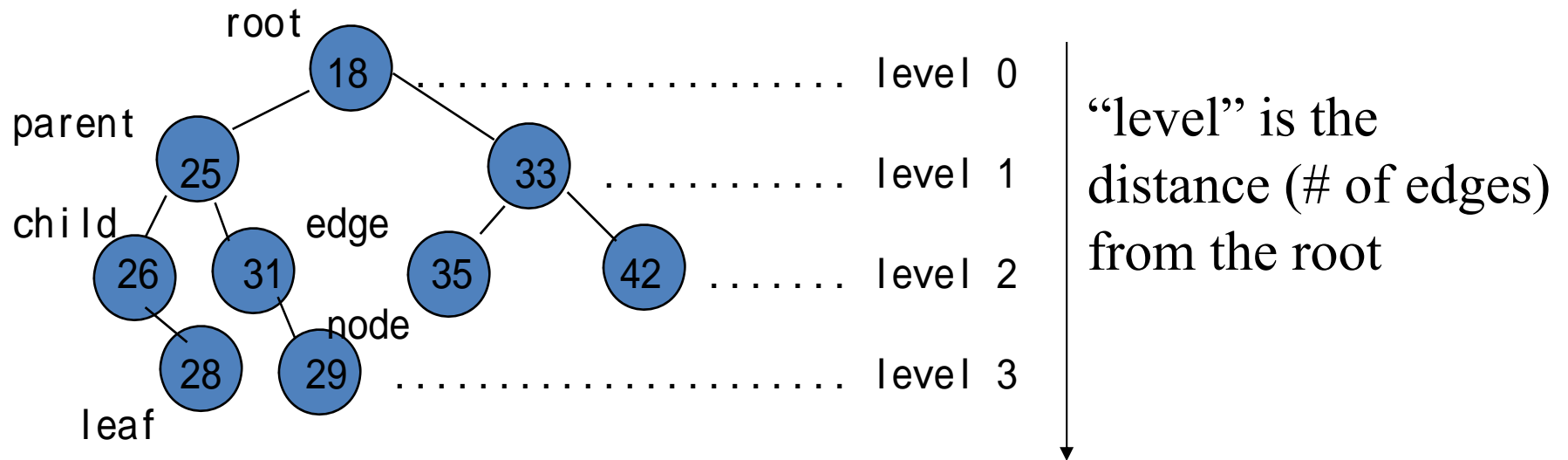
- Store: $O(1)$

```
heap[top++] = x
```

- Take: $O(n)$

```
m = 0;
for(i=1; i<top; i++)
    if(heap[i] < heap[m])
        m = i;
x = heap[m];
heap[m] = heap[top-1];
top = top - 1;
return x;
```

Heap by binary tree



root: node that has no parent

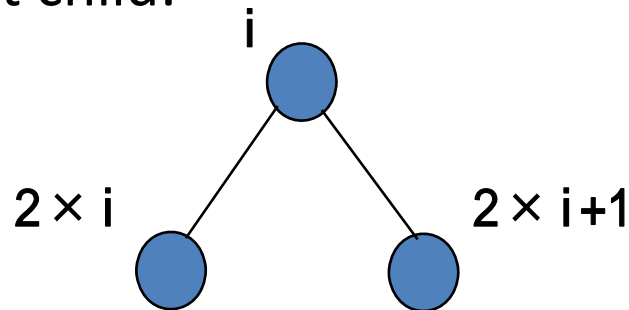
leaf: node that has no child

A tree is called a *binary tree*

if each node has at most 2 children

Property of binary tree for heap

1. Assign 1 to the root.
2. For a node of number i , assign $2 \times i$ to the left child and assign $2 \times i + 1$ to the right child:

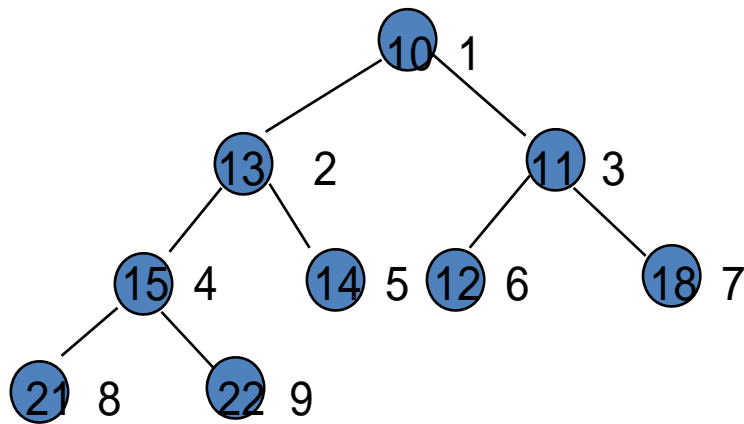


3. No nodes assigned by the number greater than n .
4. For each edge, parent stores data smaller than one in child.

The maximum level of heap: $\text{ceil}(\log_2(n+1) - 1)$

Each node has a unique path from the root, and its length is $O(\log n)$.

Example of a heap by binary tree



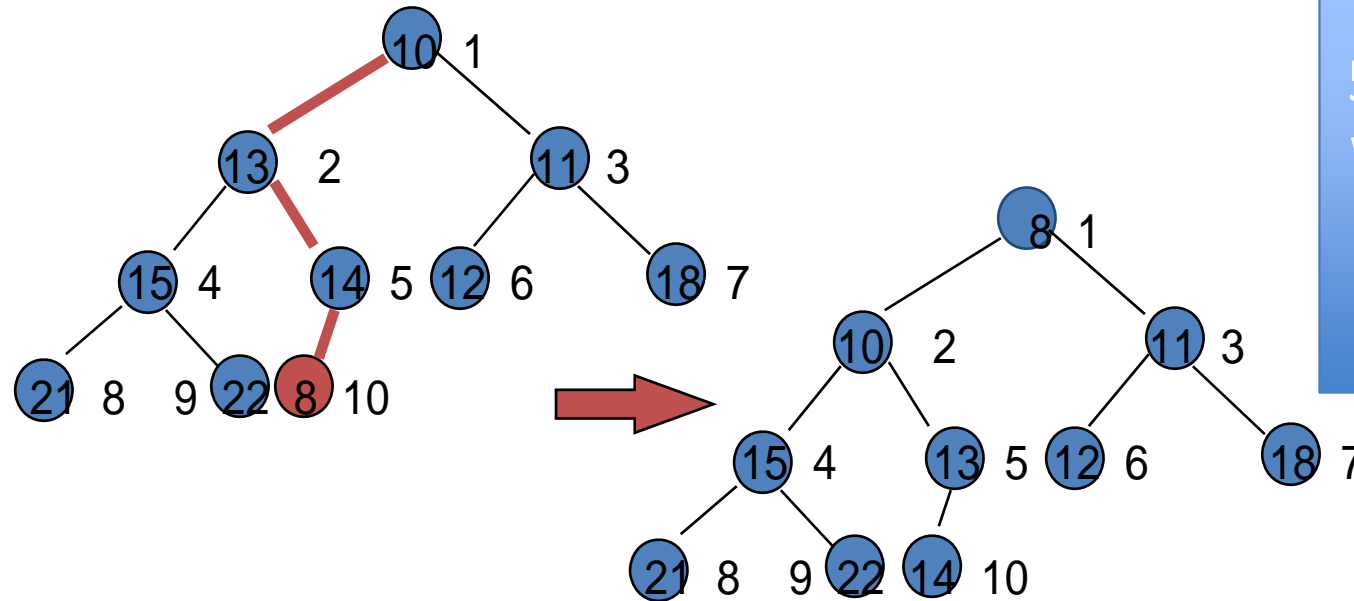
1. Assign 1 to the root.
2. For a node of number i , assign $2 \times i$ to the left child and assign $2 \times i + 1$ to the right child.
3. No nodes assigned by the number greater than n .
4. For each edge, parent stores data smaller than one in child.

We can use an array, instead of linked list!

1	2	3	4	5	6	7	8	9
10	13	11	15	14	12	18	21	22

Add a data to heap

- (1) temporarily, add data to node $n+1$ ($n+1^{\text{st}}$ element in array)
- (2) traverse to the root step by step, and if parent $>$ child then swap parent and child

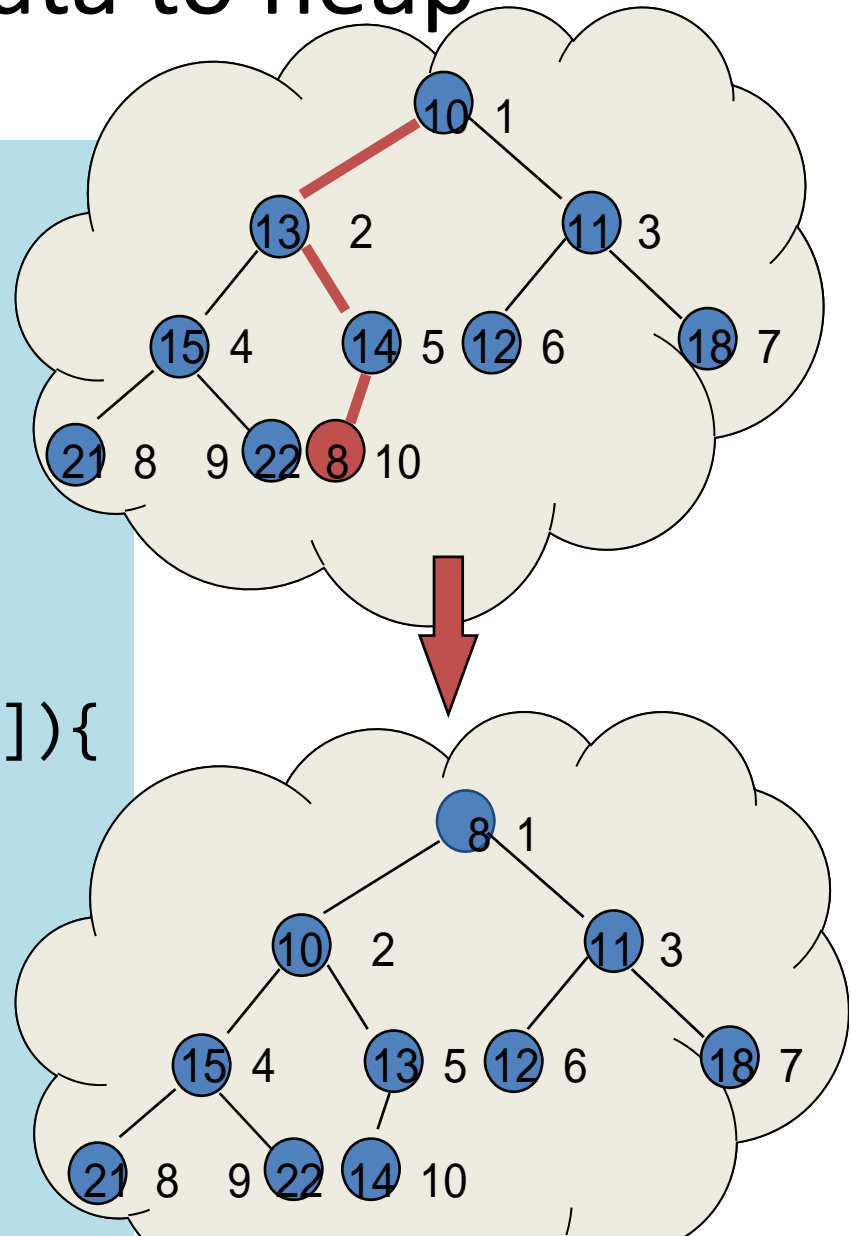


5 minute quiz:
Why does this
algorithm has
consistency?

That is, from $n+1^{\text{st}}$ node to the root, the data are in order. This algorithm does not occur any problem with any other part of tree.

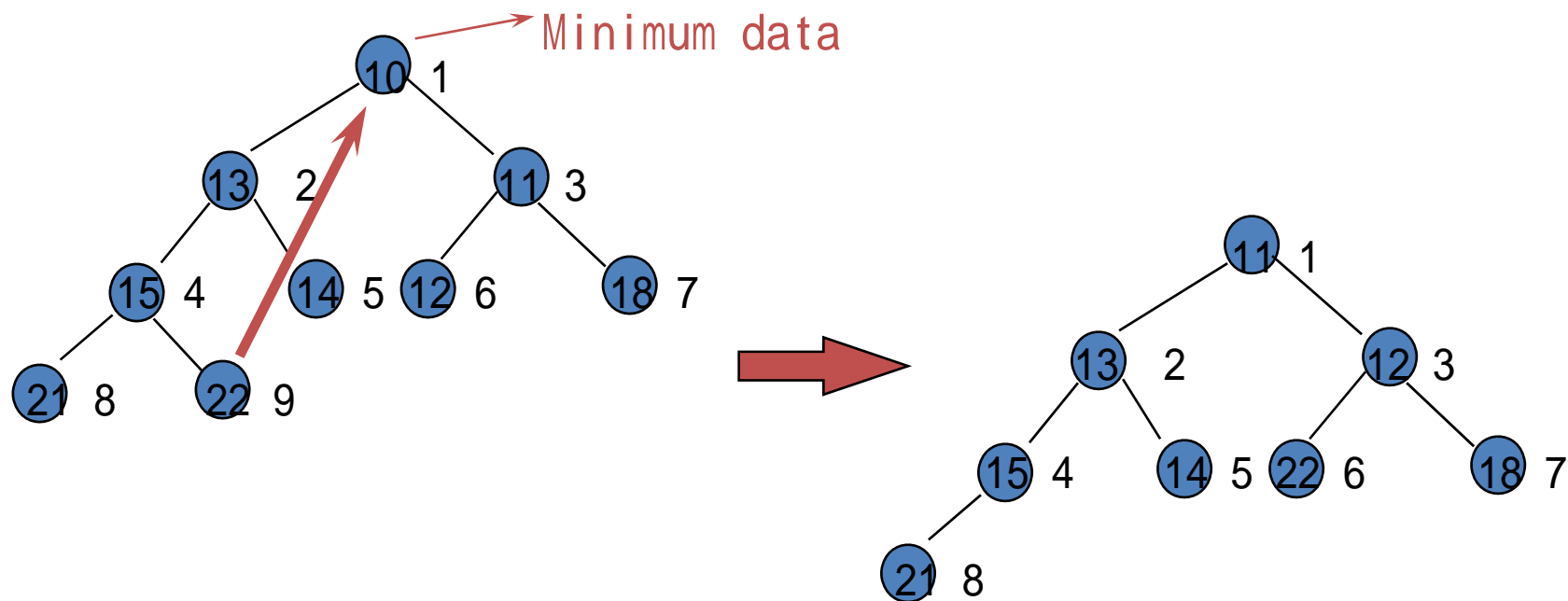
Program for adding a data to heap

```
void pushHeap(int x){  
    int i, j;  
    if(++n >= MAXSIZE)  
        stop("Heap Overflow");  
    else{  
        heap[n] = x;  
        i=n; j=i/2;  
        while(j>0 && x < heap[j]){  
            heap[i] = heap[j];  
            i=j; j=i/2;  
        }  
        heap[i] = x;  
    }  
}
```



Heap: Take the minimum item

- (1) Take the minimum data at the root
- (2) Copy the last item (of number n) to the root
- (3) Traverse from the root to a leaf as follows
For each pair of two children, choose the smaller one, and exchange parent and child if child is smaller than parent.

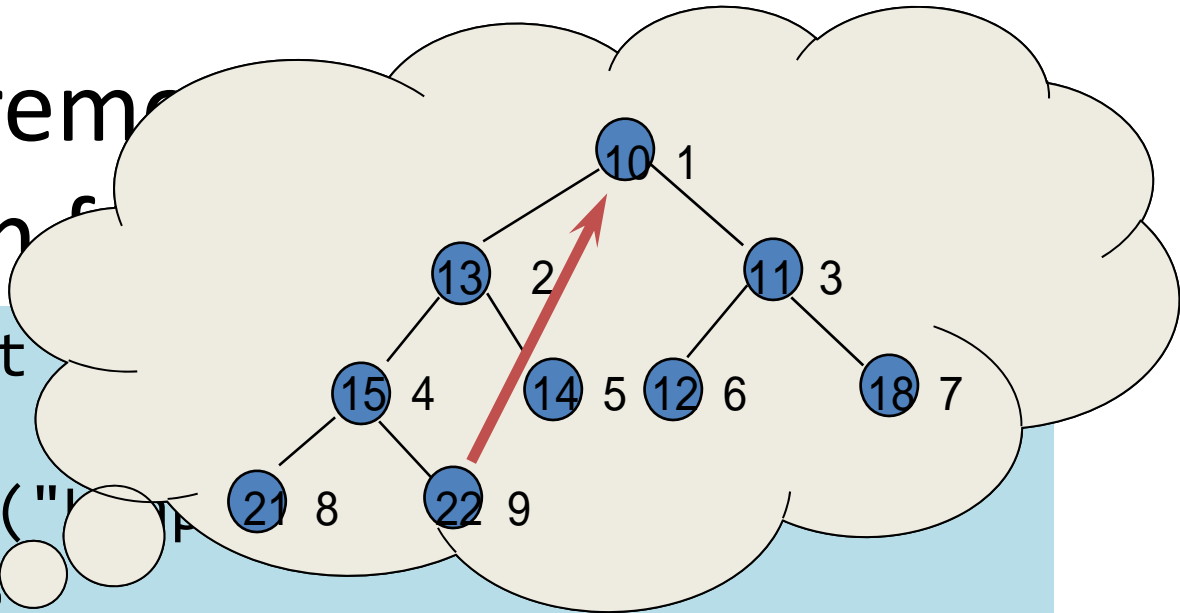


Program for removing an item from a heap

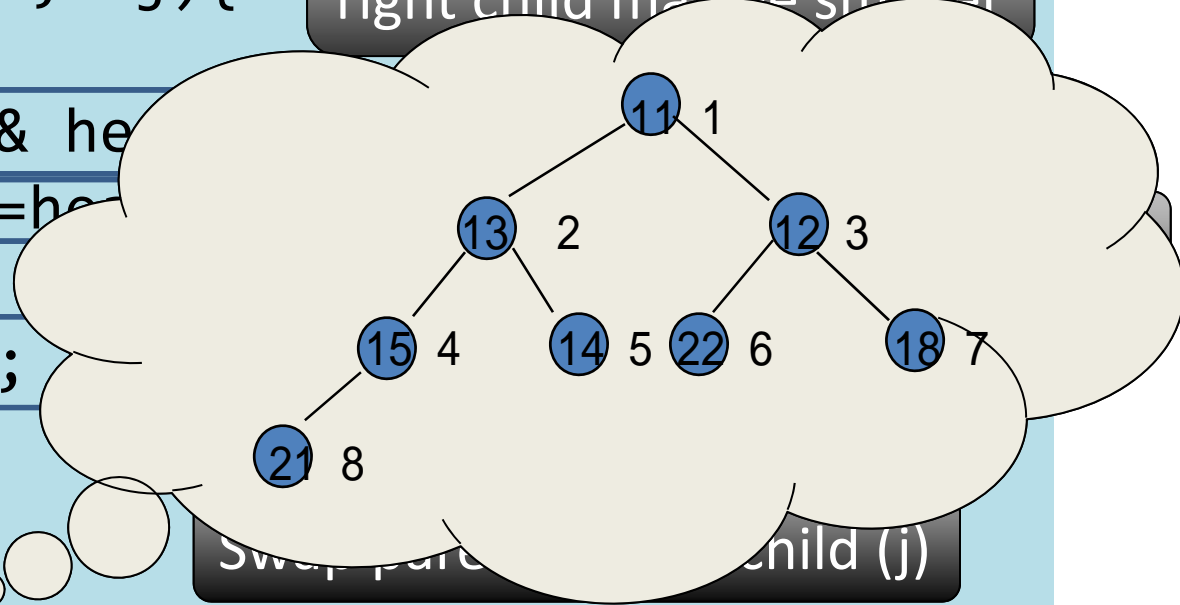
```

int* deleteMin(int heap[], int n) {
    int x, i, j, t;
    if(n == 0) stop("Heap is empty");
    else{
        heap[1]=heap[n--];
        for(i=1;i*2<=n;i=j){
            j=i*2;
            if(j+1<=n && heap[j+1]<heap[j])
                j=j+1;
            if(heap[i]<=heap[j])
                break;
            else {
                t=heap[i];
                heap[i]=heap[j];
                heap[j]=t;
            }
        }
    }
    return heap;}

```



Node i has child && right child may be smaller



Swap parent with child (j)

Time complexity of binary heap

- Let n be the size of heap
 - Addition: $O(\log n)$
 - Removal: $O(\log n)$
- Each operation runs in time proportional to the depth of the heap
- The depth of heap is $O(\log n)$